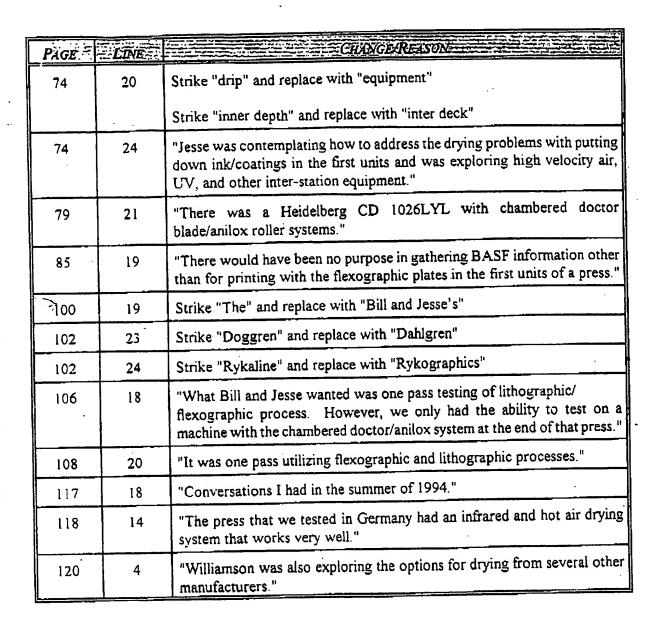
CORRIGENDUM for Deposition of Scott Brown Taken August 10, 2000

PAGE	LINE	CHANGE/REASON
12	4	Strike "October 30th" and replace with "December 30th"
13	1	Strike "and take an affidavit to suit his needs." and substitute "and provide testimony by way of declaration."
28	17	"Always" I am always trying sell Williamson Printing something, not Mr. Falk.
. 35	20	"Yes" Graphic Equipment Southwest, Inc. sold Komori Presses while I was Service Manager, I was not in sales, nor sold Komori Presses to Williamson Printing.
40	12	Subsequent to June 1994, I obtained an understanding that Williamson did not use flexography at that time.
41	14	"No", I never saw them run it commercially. However, I did see Williamson run WIMS experimentally in 1993 at Graphic Equipment Southwest, Inc.
44	20	They do have a two color used Heidelberg press they bought from a third party c. 1979
45	5	Strike "Howard Byrd" and replace with "John Bird"
45	23	Strike "John Byrd" and replace with "John Bird"
48	13	As of the time that I joined Heidelberg in 1994, I had never seen flexographic printing on the first unit of an offset press. I have seen a number of press manufacturers such as Roland 700, Heidelberg C0102L, and Komori Lithrone, that utilized flexography in the coating towers with anilox rollers.
49	21	Strike "1994" and replace with "1993 at the coating tower on end-of-press"
65	18	"Flexography"
65	20	Strike "And lithography and lithographic plate and a flexographic plate fo the same thing. They put an image on a blanket." and replace with "A flexographic plate coates or prints directly on the paper"
66	24	Strike "process" and replace with "arts"

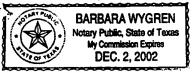


I, Scott Brown, have read the foregoing deposition and hereby affix my signature that same is true and correct, except as noted above.

Scott Brown

STATE OF TEXAS)
COUNTY OF DALLAS)

Subscribed and sworn to before me by the said witness, Scott Brown, this <u>22</u> day of September, 2000, by



(seal)

IN THE UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF TEXAS DALLAS DIVISION

PRINTING RESEARCH, INC. X AND HOWARD W. DeMOORE, X Plaintiffs, X X CIVIL ACTION NUMBER VS X 3-99-CV1154-M X WILLIAMSON PRINTING CORPORATION, BILL L. DAVIS X AND JESSE S. WILLIAMSON, X Defendants.

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ORAL DEPOSITION

OF

SCOTT BROWN

August 10, 2000

ANSWERS AND DEPOSITION OF SCOTT BROWN, produced as a witness at the instance of the Plaintiffs, taken in the above-styled and numbered cause on the 10th day of August, 2000, at 9:15 o'clock a.m., before Anita K. Spurger, a Certified Shorthand Reporter in and for the State of Texas, at the offices of Locke, Liddell & Sapp, located at 2200 Ross Avenue, Suite 2200, in the City of Dallas, County of Dallas, and State of Texas

APPEARANCES

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MR. BILL HARRIS
MR. STEVE WILSON
Locke, Liddell & Sapp
2200 Ross Avenue
Suite 2200
Dallas, Texas 75201

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- AND -

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MR. MARTIN J. SWEENEY Cozen and O'Connor 2300 BankOne Center 1717 Main Street Dallas, Texas 75201

APPEARING FOR THE PLAINTIFFS

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MR. JOHN P. PINKERTON Worsham, Forsythe & Wooldridge 1601 Bryan Street 3000 Energy Plaza Dallas, Texas 75201

- AND -

MR. BOB FALK Falk & Fish 700 North Pearl Street Suite 970 Dallas, Texas 75201

ALSO PRESENT:

APPEARING FOR THE DEFENDANTS

Mr. Howard W. DeMoore,

Mr. Dave Douglas, Mr. Bill L. Davis

FULLER & ASSOCIATES, INC. 214.744.1250 FORT WORTH 817.810.0200 TYLER 903.531.0086 888.480.DEPO(3376) SAN ANTONIO 210.223.1760 . 1

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PROCEEDINGS

MR. HARRIS: I think our agreement is to go under the Federal Rules. And I believe Mr. Pinkerton wanted it reiterated that -- and I agree -- that objections except as to the form of the question are reserved until the trial.

MR. PINKERTON: That's the agreement. SCOTT BROWN,

having been first duly sworn, testified as follows:

EXAMINATION

BY MR. HARRIS:

- Q. Mr. Brown, as I told you very quickly as we met a minute ago, I'm Bill Harris, and I'm the lawyer in this particular matter or one of them for the plaintiff. And the plaintiff in the case, I believe you know who that is, don't you?
 - A. Yes, sir.
 - O. Who is it?
 - A. That would be Printing Research.
- Q. And I believe and Howard DeMoore, but that is correct.
 - A. And Howard DeMoore.
- Q. And the defendant, I believe you know who that is, do you not?
 - A. Yes.

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Q. And who is it?

- A. Williamson Printing. The subpoena names Bill Davis and Jesse Williamson.
- Q. And I gather you have brought the subpoena along with you --
 - A. Yes.
- Q. -- in this case. I would like to mark it as exhibit --

MR. HARRIS: What are we to? 11 or what?

MR. PINKERTON: Well, did you want -- I
thought I understood you were going to start over on
each one, Bill.

MR. HARRIS: That's what I'd prefer to do.

MR. PINKERTON: That's fine. Brown 1?

MR. HARRIS: We'll make this Brown

(Exhibit 1 marked)

- Q. (By Mr. Harris) And you are responding today to that subpoena. That's correct, is it not?
 - A. Yes.

Exhibit 1, then.

Q. And did you notice in the subpoena that there were some documents that were referred to? And my question to you is, looking at the subpoena and you'll find that there are some documents mentioned, and I would like for you to tell me whether or not you have

any of those documents.

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- A. There -- yeah. There are several documents and copies are attached to the subpoena.
 - Q. Are those all of the documents that you have?
- A. I had some of the original documents. These are copies. Is that what you are asking?
- Q. Well, let's take a look at -- there's a 1 and a 2 and a 3 in the so-called Plaintiffs' Notice of Oral Deposition of Scott Brown. Do you see those?
 - A. Yes.

MR. WILSON: No. He's looking at the wrong place. You're looking at your statement -- the notice there. There you go.

- Q. (By Mr. Harris) Do you have any documents such as Number 1?
- A. I have a copy of my declaration of my deposition, yes.
- Q. You do mean your declaration, don't you?
 You're not referring --
 - A. Okay, declaration.
 - Q. You're not referring -- you said deposition.
- You don't mean that, do you?
 - A. No. Declaration --
- 24 Q. Okay.
 - A. -- it says here.

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- Q. What else under Number 1 do you have?
- A. These exhibits are attached that are mentioned in Paragraphs 2 through 6. Copies of these are attached to the subpoena.
- Q. And when you say "2 through 6," you're talking now about in your declaration, are you not?
 - A. Yes. It's that --
- Q. And you have nothing else that corresponds to the request of Paragraph 1?
 - A. No.
- Q. Would you look at Paragraph 2 on the next page, please. Read it carefully, please.

(Conference between Mr. Brown and Mr. Pinkerton)

- A. I would refer to the attachments.
- Q. (By Mr. Harris) Well, do you have any others that aren't attached, is the question.
 - A. No.
 - Q. Are you sure?
 - A. Yes.
- Q. Now, are you answering with respect to Number 2 or Number 1?
- A. Number 1 and 2, but I was specifically responding to Number 2 -- Number 2.
 - Q. In your files at the company, do you have

- A. They're all in the attachments, all the documents.
- Q. And there's nothing else in -- there's nothing else in the files of the company you're aware of that correspond to the requests of 1, 2, and 3?
 - A. No, not to my knowledge.
- Q. How did you come to take the declaration that is an exhibit to Brown 1?

MR. PINKERTON: I just want to object to the form. He's probably not used to the terminology, "take a declaration." He executed -- he made the declaration. Just object to the form of the question. Just make sure he understands it, Bill.

- Q. (By Mr. Harris) I gather you do not understand the question. Is it true that you do not understand that question?
 - A. Yes.

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Q. Okay. Well, I will try to ask it again.
You've got a piece of paper attached there that's, I
believe, an exhibit -- several pieces of paper that I
believe is an exhibit to your so-called declaration.
And you have the declaration itself. Agreed?

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- And you, quote, took that declaration, that is, Q. signed it, did you not?
 - Α. Yes.
 - And you do avow that it is your declaration? 0.
 - Α. Yes.

And for the record, MR. PINKERTON: Yeah. Bill, the declaration is marked Exhibit A to Exhibit 1.

MR. HARRIS: For your information, we don't have any such marks on any of the attachments. We were not furnished them.

MR. PINKERTON: Oh, okay.

MR. WILSON: You gentlemen are talking about two different things.

MR. PINKERTON: Well, okay. Let's talk about Exhibit 1.

MR. WILSON: No. Bill was saying that his declaration refers to Exhibits A through H, but when you produced his declaration to us, there's no tabs. So you can't really tell what attachments are the A through H. You can tell this is A through H, but you can't tell which one's A and which one's H.

> Oh, okay. MR. PINKERTON:

MR. WILSON: And you, Mr. Pinkerton, are talking about the Exhibit A stamp that we put on his

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declaration as part of the notice.

MR. PINKERTON: Yeah. Bill, you were asking him about the declaration. And I just wanted to make -- state for the record that it is Exhibit A to Exhibit 1. Just so we're clear there.

MR. HARRIS: Yes. And I think we might be better off if we have a separate declaration so we don't have to have an attached subpoena and notice.

MR. PINKERTON: I agree with that.

MR. HARRIS: So I'm going to ask that a separate declaration by Mr. Brown be attached -- I'm sorry -- be marked. And it will be 2, Exhibit 2.

(Mr. Wilson exits proceedings)

MR. HARRIS: Where did he go?

MR. PINKERTON: He went to make a copy. You can go ahead with the witness.

MR. HARRIS: Why don't I just go right on ahead, then. Later on, we'll put that in.

- Q. (By Mr. Harris) Anyway, what I was trying to find out, and I think I've gotten what I could, if there are any other documents that you're aware of that relate to this matter other than the --
 - A. No.
 - Q. -- ones that you had attached?
 - A. No, there isn't.

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Q. And have any other documents come to your attention since you took this declaration that relate to this matter?

A. No.

MR. PINKERTON: Bill, could we break for one second? I'm going to call my office and have them send over the original so that we'll have the tabs and know --

MR. HARRIS: That's probably a good idea.
(Recess taken)

MR. HARRIS: Now, we have only marked Brown 1 so far; is that true?

MR. PINKERTON: Right.

MR. HARRIS: Mark Brown 2 as the declaration of Scott Brown.

(Exhibit 2 marked)

Q. (By Mr. Harris) Okay. I'm not sure you have 2 over there. Do you?

MR. WILSON: Yeah. There's 2 for him.

MR. PINKERTON: Scott, let's trade you this one for this one.

- Q. (By Mr. Harris) Now that we've got a single unit, which has been marked as Brown 2, Exhibit 2, can you verify that as the declaration that you took?
 - A. Yes, it is,

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A. And he asked

Q. And when did you take the declaration? I rather assume the date at the end is right, but I'd like to have it verified.

- A. December -- October 30th. I can't read this -- the copy very well.
- Q. You're not exactly sure, huh, when you took it?
 - A. Does anyone have the original?

MR. PINKERTON: It's coming over.

MR. HARRIS: It is a little fuzzy.

MR. PINKERTON: Yeah. The copy's kind of

Q. (By Mr. Harris) As to this affidavit or declaration -- which do you prefer to call it?

MR. PINKERTON: December 30th.

- A. That would be fine.
- Q. (By Mr. Harris) Okay. How did you get involved in the taking of it?
 - A. I was contacted by Bob Falk.
 - O. Is that Robert Falk, Esquire?

THE WITNESS: Is that you?

MR. FALK: That's me.

- Q. (By Mr. Harris) I see.
- A. And he asked if I would meet with him about the

subject and take an affidavit to suit his needs.

- Q. Did someone talk with you before that about the possibility of taking the -- I believe you called an affidavit? Didn't you call it affidavit?
 - A. Yeah.

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- Q. Okay.
- A. Yes.
- Q. Well, we'll call it both affidavit and declaration, then.
- A. Yes. Bill Davis mentioned to me that there's a possibility that I may be asked to answer some questions.
- Q. And after Bill Davis asked you that and before you took the affidavit, did you speak to any persons about the matter?
 - A. Yes.
 - Q. What persons?
- A. I spoke to my regional manager, Lowell Gilbertson and spoke to our legal counsel -- Heidelberg's legal counsel, Susan Nofi.
 - Q. In the United States?
 - A. Yes. And that would be all.
- Q. Did you discuss the substance of what became the affidavit of declaration with them?
 - A. After it was taken?

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Q. Before it was taken.

- A. Are you asking did I tell them -- ask -- tell them what it referred to?
 - Q. Yes.
 - A. Yes.
- Q. Did you tell them in the words -- in other words, but did you tell them what's in this declaration now? In the affidavit that was taken by you, did you tell them what contains -- what is contained in it?

MR. PINKERTON: I'm going to object.

Counsel, Mr. Brown's here. He's not represented by counsel. And you're asking him about attorney-client privileged communications. I think out of fairness to the witness, you ought to advise him that he's got the right to claim a privilege with respect to those -- or somebody ought to advise him --

MR. HARRIS: You seem to be.

MR. PINKERTON: Well, you know, I don't mean to be taking on that burden, but out of fairness, do you think it's appropriate to advise him that there is an attorney-client --

MR. HARRIS: I'm not trying to get any attorney-client information.

MR. PINKERTON: You're asking him about his communications with his attorney.

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MR. HARRIS: I'm asking him merely if he recited to his boss and attorney the information that's in the affidavit.

MR. PINKERTON: Which is asking him for communications with his attorney.

MR. HARRIS: No, but his boss was there. He's a third party as far as this matter's concerned. You're not talking about any privilege at all.

MR. PINKERTON: I think it is privileged communication in spite of the boss being there.

MR. HARRIS: Are you going to claim privilege for him?

MR. PINKERTON: No. I just -- I think it's appropriate that Mr. Brown know that there is such a thing in this country that's kind of a sacred privilege and that is attorney-client privilege.

MR. HARRIS: There is a sacred thing also in this country called the truth.

MR. PINKERTON: That's right.

MR. HARRIS: And that's all I'm asking

MR. PINKERTON: And the law has recognized that the truth can be had separate and apart from asking for attorney-client communications. And we're here to get the truth today. And we can get the truth without

having to get to a communication with his attorney. 1 MR. HARRIS: Let's put it this way. 2 You had a communication with (By Mr. Harris) 0. 3 your boss, did you not? 4 A. Yes. 5 What did he say and what did you say? Q. 6 MR. PINKERTON: Was that in the presence 7 8 of counsel? 9 THE WITNESS: No. Okay. It could still be MR. PINKERTON: attorney-client privilege. Oh, come on, Mr. Pinkerton. MR. HARRIS: It could be. MR. PINKERTON: MR. HARRIS: It could be, but you are --MR. PINKERTON: It could be. I'm just --I don't know. It's too bad that he's not represented by 16 m 17 counsel. 18 Well, I'd like to ask a THE WITNESS: question, and I'd like Mr. Pinkerton's opinion. 19 Should I -- should we postpone this event 20 until I would have representation here? 21 That's entirely up to you, MR. PINKERTON: 22 I'm just advising or That's not my call. 23 Mr. Brown.

stating on the record some very basic fundamental legal

principles here. And I don't want to see them violated,

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and I don't think Mr. Harris does either really.

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MR. HARRIS: No, not at all. I want to know what you said to your boss and what you said to him. I backed off on the question of what you said to the lawyer. Whether I should or not under these circumstances, I backed off on that.

MR. PINKERTON: Now --

MR. HARRIS: And so we're down now to what was said to the boss.

MR. PINKERTON: Did the boss --

MR. HARRIS: An objection could be made or you could make one and still go ahead and tell me. And then, at trial, if for some reason the matter should be broached, well, it could be struck if the judge thought that it was attorney-client information. Also, your statements for what -- whatever protection they can get can be put under the protective order in this case.

MR. PINKERTON: Well, a protective order doesn't really solve that issue, in my opinion.

MR. HARRIS: Well, you've got a lot of opinions about this, Mr. Pinkerton, for somebody's that's not your witness.

MR. PINKERTON: Well, he's not my witness, and I don't represent him. But he's entitled to know what the law is.

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Did --

MR. HARRIS: Mr. Pinkerton, do you know what the law is?

MR. PINKERTON: Well, I hope that I know some of it.

MR. HARRIS: Well, I don't doubt that you know some of it. But you sounded like you know what, quote, the law, closed quote, is.

MR. PINKERTON: Well, I don't think there is any reason for you and I to have an argument about that.

MR. HARRIS: Well, neither one of us know for sure what, quote, the law is.

MR. PINKERTON: Nobody was indicating that that was being represented here.

MR. HARRIS: We can check with the judge, if you want to. This is a subpoena-type situation.

MR. PINKERTON: Sure.

MR. HARRIS: And all I'm asking is what he said to his boss and what his boss said to him about this matter. I'm not asking him about company business or personal business or anything else.

THE WITNESS: I'd like to, if I could, excuse myself for a moment and call my counsel -
MR. PINKERTON: Okay.

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MR. HARRIS:

That's fine with me. MR. PINKERTON:

MR. HARRIS: You can call him.

Okay.

THE WITNESS: -- to see if we should proceed with this.

> MR. PINKERTON: Okay.

(Recess taken)

MR. HARRIS: Back on the record.

Mr. Wilson will dictate into the record or read into the record the exhibit numbering or tab numbering for what's been marked as the declaration, Exhibit 2.

Exhibit 2 has had tabs MR. WILSON: inserted into it. When it was originally placed into the record, it did not have tabs and it was difficult to follow the exhibits that were attached to the declaration that comprises the exhibit in the transcript. So we've put tabs in. And as a result, the Bates numbers are somewhat out of order. The attorneys have all looked at it and the witness has looked at it and I believe everybody agrees that the tabs are now in the correct order and with the correct documents as the declaration was originally prepared and executed by Scott Brown.

Is that correct? Everybody's in agreement

with that?

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Mr. Pinkerton?

MR. PINKERTON: Yeah. We're in agreement

MR. WILSON: Mr. Brown?

THE WITNESS: Yeah.

- Q. (By Mr. Harris) Mr. Brown?
- A. Yes, sir.
- Q. You made a call so you could have a consultation with an attorney, I believe?
 - A. Yes.
- Q. And I'm, for the moment, in your hands. If you don't open your mouth, well, then, the record doesn't get made. Nothing happens unless you talk. So tell me whether or not you're going to testify and what you have to report.
 - A. Yes, I'm going to testify.
 - Q. All right.
- A. I would like to clarify one statement that was -- the question that was asked and answered earlier. You asked something to the effect of, if I had spoken to anyone about the substance of the affidavit before the affidavit was taken. I took "substance" to mean the subject matter. So I guess what is your -- can you clarify your question about substance? What is --
 - Q. Yes. That's what that question was. I

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wouldn't say I wouldn't have follow-up questions, but that is the question that was pending then.

- A. Okay. So --
- Q. And that's what I meant by it.
- A. Is it accurate to say, then, it was the subject -- you wanted to know if we had spoken about the subject in general?
- Q. Well, the subject matter of the affidavit or declaration that resulted.
- A. Well, I -- just to clarify, I did not -- my answer will be, no, I did not speak to anyone, if you're asking if I discussed everything in the affidavit, because the affidavit hadn't occurred yet, for one thing. My answer would be, yes, if you're asking did I speak to someone as to whether or not I would be giving an affidavit.
- Q. Did you indicate what the affidavit would be about?
 - A. Yes.
 - Q. And what did you say and what did they say?

 MR. PINKERTON: Well, the they --
- Q. (By Mr. Harris) Who is the someone, to begin with?

MR. PINKERTON: Yeah.

A. The someone is Lowell Gilbertson, who would be

my supervisor.

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- Q. (By Mr. Harris) All right.
- A. And the subject was, my understanding, there was a lawsuit that has to do with a patent infringement that I've been asked to answer some questions. That was -- and the question of -- you want me to proceed with it?
 - Q. Did you tell him who the lawsuit was between?
 - A. Yes.
 - Q. And what did he say?
 - A. I don't recall.
 - Q. Do you recall anything at all that he said?
- A. Cooperate. If they're asking you for information, to cooperate.
 - Q. Did he give you any reason for cooperating?
 - A. No.
- Q. Did you discuss with him or he with you the fact that Printing Research was on one side and Williamson was on the other side and Williamson was a good customer?
 - A. No.
- Q. Or that Williamson was a good potential customer?
 - A. No.
 - Q. Did you discuss the subject further after you

gave the declaration or affidavit? Did you discuss it further with your supervisor?

- A. Briefly.
- Q. And did he look at the declaration or affidavit?
 - A. No.

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- Q. What was the discussion, as well as you can remember, that took place after the giving of the affidavit?
- A. Just a short discussion. I told him that I was asked about technical issues about some print testing that was done. That was the sum of it.
- Q. Did you later have a discussion with him about testifying here today?
 - A. No.
 - Q. Does he know you're testifying?
 - A. Yes. Just spoke to him.
 - Q. What?
 - A. Yes. I just spoke to him on the telephone.
 - Q. Just now?
 - A. Right.
 - Q. But he didn't know before that?
- A. No. I was just made -- given this a couple days ago.
 - Q. You what?

I was just given this a few days ago. 1 A. 2 Did you communicate with him on paper --Q. 3 A. No. 4 Q. -- or by e-mail or some other means, oral? Communicate with him --5 A. About this --6 Q. About this? 7 Α. -- testimony today? 8 Q. 900 11 12 3514 Α. No. About you needing to testify today? Q. Α. No. Have you had any contact with Mr. Baker about Q. this lawsuit? Α. No. Are you --15 How 17 18 Do you know him? Q. Are you speaking of Steve Baker? Α. Yes. I have not had any contact with him about this Α. 19 subject at all. Including the reissue application and the 20 Q. patent offers? 21 No discussions with Steve. 22 Α. Have you had any discussions with either 23 Q.

Other than the affidavit?

Mr. Pinkerton or Mr. Falk?

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- Q. Any discussions at all.
- A. Well, Mr. Falk --

MR. PINKERTON: Maybe you can break it down. Would you mind breaking it down, Bill, between Pinkerton and Falk?

MR. HARRIS: Well, I thought he could break it down in his answer.

- Q. (By Mr. Harris) Have you had any discussions with Mr. Pinkerton before today?
 - A. No.

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- Q. Did you have a discussion with him this morning?
 - A. No.
- Q. Would you describe the contacts and discussions, any communications between you and Mr. Falk?
- A. We -- I believe we had two meetings to compile this affidavit.
 - Q. Here in Dallas?
 - A. Yes.
 - Q. When?
- A. Well, I would have to do some looking back to tell you that. Let's see if I have the answer.
- MR. PINKERTON: While he's doing that,

 Steve, do you have that copy that we brought over or

that came over? 1 MR. WILSON: Yeah. Marty was using it. 2 MR. PINKERTON: Marty, can we see that 3 copy? Let's see if the date -- I think we have a 4 question about the date the declaration was signed. 5 THE WITNESS: Yeah. It's illegible. 6 This one's 7 MR. PINKERTON: Yeah. This one appears to be 12/30/99. 8 legible. MR. WILSON: That's the signature on 10 Exhibit 2. 1 Page 5, Exhibit 2. MR. PINKERTON: Yeah. 1,2 I don't have those records with me as to what Α. 13 specific dates. 14 (By Mr. Harris) What records are those that 0. 15 you're referring to? 16 My schedule. A. Your schedule shows when you met with 17 0. 18 Mr. Falk? 19 Uh-huh, yes. Α. Do you have any other papers of any kind that 20 relate to this matter? 21

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have, then, huh?

That's the closest thing to something else you

Regarding the matter of meeting with Mr. Falk?

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- Q. Regarding the matter of this lawsuit and the reissue.
 - You have everything in the affidavit. Α.
- 0. I don't have your appointment book or any pages from it. And it just reminded me to ask you again what you have that was related to this subject matter.
- And you do have copies of some of the pertinent A. pages from my schedule book in Section H.
 - You may have the Falk copy there. Is it?
- Α. I don't see that here, no. It was not -- I wouldn't -- it wasn't available when -- the day we talked. In other words, the information we were discussing didn't have to do with that day we met.
 - 0. Didn't have to do with what?
- Α. I wasn't asked to provide anything that said that I met with Mr. Falk on a certain day. And I --
 - That may be --Q.
 - -- and that may or may not be --
- And I'm not trying to pick nix with you. Q. just trying to find out what you've got.
- Α. And I may or may not be able to provide you with a copy of my schedule that says I met with Mr. Falk on a certain day.
- Anyway, is it fair to say that on the day that the affidavit was signed, you met with him, then?

A. Yes.

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- Q. And the other time you met with him, was it before or after the affidavit was signed?
 - A. Before.
 - Q. And how long was the first meeting?
- A. I couldn't tell you for certain. Perhaps an hour, perhaps an hour and a half.
 - Q. And where did it take place?
 - A. At Williamson Printing.
 - Q. Were any of the Williamson people present?
 - A. No.
 - Q. Why did you meet at Williamson Printing?
- A. Convenience. I had a meeting scheduled earlier, and Mr. Falk was going to be there.
- Q. Were you trying to sell him something, Williamson?
 - A. Always.
- Q. Always trying to sell him something? Do they buy from you?
 - A. Yes, they do.
 - Q. Do they buy a lot from you?
 - A. Yes, I would say so.
 - Q. Do you work on commission in whole or in part?
 - A. In part.
- Q. Does a big order generate a big payment to you

in commission?

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- A. That's very subjective. Each circumstance differs.
- Q. Is it fair to say that you yearly get commissions from sales to Williamson of thousands of dollars?
 - A. I can't predict the future.
 - Q. I'm talking about the past.
 - A. The past, yes.
 - Q. Ever over a hundred thousand dollars?
 - A. No.
- Q. Does hope always remain that it will get that big?
 - A. Does my hope remain?

MR. PINKERTON: I'm going to object to speculation. Object to form.

MR. HARRIS: No. I'm looking for a state of mind, which is reasonable.

MR. PINKERTON: Object to form, asking for speculation.

- A. I couldn't speculate on that.
- Q. (By Mr. Harris) Is it possible that they could generate that much?
- MR. PINKERTON: Same objection. Object to form, asking for speculation.

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- (By Mr. Harris) You can answer the question, Q. please.
 - I couldn't answer that question. Α.
 - Does that mean you don't know? Q.
- I don't know. I cannot answer that question. I could not. That's speculative.
- So you're just saying you don't know, then? You either know or you don't know.
 - I --Α.
 - Which is it? Q.

THE WITNESS: Are we constantly on record here?

- I don't like being spoken to sharply.
- (By Mr. Harris) Which is it? No sharpness Q. intended. I'm looking for an answer.

MR. PINKERTON: And let the record reflect that counsel now in two questions has raised his voice and used quite a bit of inflection in asking for and insisting on an answer. And I object to the tone of voice. I object to the argumentative nature in which the question is being conducted.

MR. HARRIS: Don't mean to be argumentative. .

- (By Mr. Harris) Which is it?
- I believe I've answered the question.

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- Is it you don't know?
- Restate the question.
- My question was, do you -- I'm not sure I can exactly restate it. I'll try. My question was, do you hope in the future to be able to generate from sales to Williamson as much as a hundred thousand dollars -- over \$100,000 in a year? And that's commission I'm talking about actually.
- MR. PINKERTON: And the same objection. Object to form and asking for speculation.
 - (By Mr. Harris) Do you?
 - I can't speculate on that.
- I'm not asking you to speculate. I'm asking just yes or no.
 - MR. PINKERTON: Same objection.
 - Α. That's my answer.
 - ο. (By Mr. Harris) Your answer is?
 - That I --Α.
 - That you might or you might not? Q.
 - That I couldn't speculate on that. Α.
 - Is it possible? Q.
- MR. PINKERTON: Same objection. Object to form, asking for speculation, and asked and answered.
 - (By Mr. Harris) Is it possible? 0.
 - Same objection. MR. PINKERTON: Counsel,

can we move on to another area of inquiry?

MR. HARRIS: In due course, I certainly will.

MR. PINKERTON: Well, I don't want the deposition to become unduly burdensome and oppressive to this witness. He's not my witness, but I think continuing to ask the same question when he's given you the best response he can is out of bounds.

MR. HARRIS: The best response --

- Q. (By Mr. Harris) Are you giving me the best response you can? And that's a fair way to put it.
 - A. Yes.

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- O. I'll take that if that's true.
- A. Yes.
- Q. Who was it at Williamson, if you know, that suggested that you might be able to provide something in the way of information to Mr. Falk?

MR. PINKERTON: Object to form.

- Q. (By Mr. Harris) Again, if you know, of course.
- A. Do you want to restate that, rephrase that, or --

MR. PINKERTON: You can go ahead. I can state the objection and then you can go ahead and answer, if you can.

- 1 A. Okay. Ask it again. I'm sorry. 2 Q. (By Mr. Harris) Okay. 3 Α. Do you mind? MR. HARRIS: What I'd like to do is to 4 5 --take of all things at this time a five-minute recess so 6 everybody can kind of cool it down. 7 MR. PINKERTON: Okay. 8 MR. HARRIS: I will try to get the answer 9 to that one before we do it. 10 MR. PINKERTON: Okay. 1 0. (By Mr. Harris) And the question simply is, who was it, if you know, at Williamson that placed you 12 13 and Mr. Falk in contact? 14 MR. PINKERTON: Object to form. 15 Go ahead and answer. 16 Α. It was mentioned to me by Bill Davis and then Mr. Falk contacted me not through Mr. Davis. 18 (By Mr. Harris) Right. Mr. Davis was the one Q. 19 that talked to you first and told you to expect a call.
 - A. Yes.
 - Q. From Mr. Falk?
- 23 A. Yes.

Is that it?

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MR. HARRIS: Let's take that five minutes.

MR. PINKERTON: Okay.

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(Recess taken)

- (By Mr. Harris) My recollection is that you Q. joined the company in '94, but I may be wrong. you straighten me out?
 - That's correct, 1994. A.
 - 1994? Q.
 - Α. (Witness nods.)
 - What time of the year in '94? Q.
 - Α. Spring.
 - Can you tell me what month? Q.
 - I believe it was in April. April of 1994. Α.
- Was the initial job you had with the company Q. located here, Atlanta, New York, or where?
 - Here, Dallas. Α.
- And by "Dallas," do you mean geographically Q. Dallas or the Dallas metroplex or what?
- It encompassed parts of Dallas, surrounding Α. cities, Houston, East Texas, and Northern Louisiana.
 - Were you an outside salesman? Q.
 - Yes. Α.
- So you didn't actually office at a plant or a 0. fixed office location for the company?
 - We do have an office in Dallas. Α.
 - Did you then? 0.
 - Α. Yes.

- Q. And did you spend much time in that office? 1 2 A. No. Is it fair to say you were mostly out seeing 3 Q. customers? 4 Yes. 5 A. Or potential customers? 6 0. Yes. 7 Α. Q. Who did you first meet at Williams, as you can 8 9 recall? 10 Williamson Printing? Probably Bill, Bill Α. Davis. Do you know when you first met Mr. Davis? 0. About 19 -- let's see. Maybe 1986. Α. Uh-huh. You had known him for several years, then, when ·Q. you went to work for Heidelberg, right? 16 Α. Yes. 17 What was your prior employment? Q. I worked for Graphic Equipment Southwest. 18 · A . 19 Are they a competitor of Heidelberg? Q. 20 Yes. Α.
 - A. In all geographic areas? Is that --

In all areas or is there some overlap in

Q. No. I was talking about technical areas, mechanical areas, or what have you.

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competition?

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- They sell printing presses only. Α.
- Had you done business with Williamson or Q. Mr. Davis before you went to work for Heidelberg?
- My capacity as contact was primarily from a service standpoint. I held the position of service manager for a number of years. And Williamson Printing had purchased four Komori machines, K-o-m-o-r-i.
- Oh, on the first page, you might help me Q. clear something up. The first page of Exhibit 2, your declaration. Do you have it?
 - Α. Yes.
- In the third line of Paragraph 2, I notice an interlineation that's apparently been initialed by you, correct?
 - Α. Yes.
- Did you make a mistake the first time that you-Q. wrote it and have to correct it?
 - Are you referring to the Weisloch? Α.
 - Q. Yes.
- That -- when this was -- it was a Α. clarification.
- Did you make a mistake at Heidelberg? 0. Objection, asked and MR. PINKERTON: answered.
 - The factory for It's a clarification. Α.

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- Q. (By Mr. Harris) Did Mr. --
- A. -- actually in Weisloch, which is a suburb of Heidelberg.
- Q. Did Mr. Falk misunderstand when he was helping you on the affidavit?
 - A. In what way?
 - Q. On the Heidelberg --
 - A. That's possible.
 - Q. -- Germany? Huh?
 - A. That's possible.
 - Q. And then you caught it when you read the copy?
 - A. Yes.
- Q. And on Page 2, I see another interlineation that you've initialed. Do you see it?
 - A. Yes.
- Q. And do you think that Mr. Falk might have misunderstood and you were correcting when you made it clear that that was a coating tower rather than just a plain first tower?
- A. This was a clarification that it was a coating tower.
- Q. And am I correct that that's probably the way it happened?
 - A. Tell me again.

- Q. You didn't write it that way yourself in those words, did you? I mean, you may have told the story, the subject as you thought, but --
 - A. Yeah.

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- Q. -- isn't it true that it was just typed wrong, then?
- A. That's a possibility or I may often refer to -particularly in this machine, the coating towers just as
 towers.
 - Q. As what?
- A. Just as towers. So I just wanted to clarify by writing in there that I was talking about coating towers.
- Q. Instead of the first tower, to make it the first coating tower?
 - A. Yes.
- Q. The coating towers in that context come after the regular printing towers, do they not?
- A. The coating towers do come after the printing units, yes.
- Q. And so that reference is to the first of those towers, true?
 - A. Yes.
 - Q. On Exhibit A, Tab Exhibit A that's attached to Exhibit 2 to your deposition, a June 3 letter, do you

see it? Or it's a telefax actually. 1 Yes. 2 Α. Whose notes is it at the bottom of the letter? 3 Q. The handwritten note is mine. 4 Α. 5 Q. And it's talking about the subject WIMS; is that correct? 6 7 Yes. Α. MR. FALK: Bill, may I have a copy -- do **Q** you have an extra copy with exhibits? 10 MR. WILSON: Sure.

MR. FALK: Thank you.

MR. WILSON: Yeah.

- Q. (By Mr. Harris) Is the WIMS process you were describing in this letter partially a flexographic process?
 - A. In --

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- Q. Where you wrote on the bottom of this letter, Exhibit A.
- A. I don't -- I couldn't answer that. I don't know if at that point if they're producing with flexo or not.
 - Q. Well, you say it's the patented process, right?
- 23 A. Yes.
- Q. Did you see the process -- I'm sorry. Did you see the process patent?

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- A. Did I see the patent?
- Q. Uh-huh.
- A. No.
- Q. Have you seen it since?
- A. No.
 - Q. Can you describe the WIMS process for us?
- A. It's exactly as I put in this note. Process where the color separations include a -- an additional two colors being silver and gold metallic.
- Q. And you're not sure whether it's -- involves a step of some sort using flexographic technology?
 - A. I was not sure at that point in time, no.
- Q. And that would have been sometime after June 3rd or on that day when you wrote the note?
 - A. Yes.
 - Q. Can you recall when you wrote the note?
- A. No, I can't recall. I could guess that would either be on June 3rd or 4th.
- Q. You had only been with the company a couple of months when this occurred, right?
 - A. Yes.
- Q. How did you obtain the information that you imparted in script at the bottom of this telefax message of June 3, Exhibit A?
 - A. I had known about this process for some time,

that it was something unique to Williamson through discussions with Bill and Jesse. It was kind of a known thing, kind of a special thing.

- Q. Are you suggesting "for some time," that it extends before you joined the company, Heidelberg?
 - A. Yes.

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- Q. How much before?
- A. I couldn't say.
- Q. Not to get into it with you again, but does that "couldn't say" mean I don't remember or I don't know?
 - A. I don't remember.
 - Q. Did you ever see the process practiced?
 - A. No.
 - Q. Did you see product from the process?
 - A. Yes.
 - Q. When was that?
- A. Again, I couldn't recall the specific dates or time, but prior -- prior to and after my employment with Heidelberg.
 - Q. What did a typical product look like? I know that's kind of hard to answer. Do your best for me, please.
- MR. PINKERTON: Object to form. I'm sorry. Vague and indefinite.

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- A. Could you ask the question again?
- Q. (By Mr. Harris) Yeah. I asked, what did a typical product look like to you, as best you could describe it.
- A. The best I could recall, printed sheets with generally pictures of or images of jewelry, automobiles with chrome, things of that nature that would -- that the silver and the gold or the silver or gold would accentuate the image.
- Q. Had you seen such products with the process prior to coming with the company? And by "coming with the company," I mean with the Heidelberg USA Company.
 - A. Had I seen products of -- yes.
 - Q. Was that at the Williamson plant?
 - A. Yes.
- Q. Were you acquainted with PRI before joining Heidelberg?
 - A. Yes.
 - Q. And how long had you been acquainted there?
- A. Since about 1986. That's when I started with Graphic Equipment Southwest.
 - Q. Were they in the same business as Williamson?
 - A. Graphic Equipment Southwest?
- Q. No. I'm talking about Printing Research, Inc. My question was a little vague. Now do you understand?

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- A. Was Printing Research in the same business as Williamson?
 - Q. Yes.
 - A. Not to my knowledge.
- Q. Is it fair to say that you didn't believe they were competitors?
 - A. Yes.
- Q. Did you do any business with Printing Research?
 - A. Yes.
- Q. Have you done business with Printing Research in recent years?
 - A. Yes.
 - Q. Very much?
 - A. Not sure how to quantify that, but --
 - Q. I'm sorry?
- A. I would say -- I would just have to say, yes, we've done business.
 - Q. In what area what do you sell?
- A. The -- many of our presses are factory installed with their Super Blue System. And we have one product, a small press, that PRI Super Blue is an option to be sold. And I have sold some of their drying equipment.
 - Q. And you sold what? I'm sorry?

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- A. Some of their drying equipment.
- Q. As I understand it, the Super Blue you testified to is a product actually that your company has purchased from them? That is, Printing Research, Inc. Is that true?
- A. I don't -- I don't know. Do you mean do we buy the product Super Blue from PRI?
 - Q. Yes.
 - A. Yeah. I would think so.
- Q. Wasn't that one of the things you mentioned to me?
 - A. I said it was factory installed, yes.
- Q. Yeah. And so in the sense of the word on that particular product -- well, you're their customer, true?
 - A. No. Heidelberg is the customer of PRI.
 - Q. What?
 - A. Heidelberg is a customer of PRI.
 - Q. Yes. Is PRI a customer of Heidelberg?
 - A. Not to my knowledge.
- Q. And you don't get any kind of commission from things that happen at PRI as relates to Heidelberg? In other words, these sales that we're talking about?
 - A. No, I don't.
 - Q. So they're not a revenue producer for you?

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No. Α.

- Who are you acquainted with best at Printing 0. Research?
- Frankly, I don't know who's still there. Α. the years, I guess I got to know Howard Byrd pretty well. And an acquaintance with Steve Baker, but I don't even know if Steve's still with the company.
- Can I help you with a correction? I want to be 0. sure that I'm right. Isn't it John Byrd instead of Howard Byrd?
 - Yeah, and his son, Warren.
 - Did you get acquainted with Mr. DeMoore? Q.
- Just a passing acquaintance. He was always Α. very friendly.
 - Did you get acquainted with Mr. Dave Douglas? Q.
 - That -- I don't think I know him. Α.
 - Did you get acquainted with Mr. Ron Rendall? 0.
 - That name doesn't ring a bell either. Α.
- Did Mr. Byrd ever discuss this WIMS process with you, the one that you're writing about on Exhibit A, the letter we were talking about or the fax of June 3rd?
- I don't recall any conversations with John Byrd Α. about that process.
 - Did he ever talk to you in a more general sense 0.

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or you to him about a combination process involving both flexography and lithography?

- A. No.
- Q. Had you seen flexo running in an offset press before you joined Heidelberg?

MR. PINKERTON: Would you read that question back, please.

(Requested text read)

MR. PINKERTON: Objection to form. It's vague and ambiguous.

- Q. (By Mr. Harris) Do you understand the question?
- A. I think I understand the question. There are flexographic presses that print.
- Q. I guess the question is, did you see those flexographic presses in action, running in an offset type press before you joined Heidelberg?

MR. PINKERTON: Same objection. Objection as to form, vague and ambiguous.

- A. Ask the first part of that again.
- Q. (By Mr. Harris) Well, the first part of it is to identify flexographic printing, flexo. And the next thing is, have you seen it running in an offset press before you joined Heidelberg?
 - A. Well, there -- as I said, there's a printing --

a type of printing press that uses offset technology 1 that is called a flexo press. 2 Have you seen it running? 3 Q. Sure. Α. 4 Q. Before you joined Heidelberg? 5 Yeah. 6 A. And was it offset? 7 0. To an offset process. 8 Α. I think I didn't know what I was asking. Q. try again. Shall we move to strike 11 MR. PINKERTON: -those questions and answers? 13 I don't think that's MR. HARRIS: No. 14 necessary. Voluntarily. 15 MR. PINKERTON: 16 (By Mr. Harris) Have you seen the flexo running in any type of press other than a Heidelberg 17 18 press? MR. PINKERTON: Again, I'm going to object 19 20

to form, vague and ambiguous. Have you ever seen it running? That defies my comprehension, but maybe the witness knows what it means.

MR. HARRIS: Just a minute.
(Off-the-record discussion)

Q. (By Mr. Harris) Have you ever seen on any

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press, other than Heidelberg, a coating system with an anilox roller running colored inks?

MR. PINKERTON: Object to form and object to timeliness.

You're talking about ever prior to today?
MR. HARRIS: Yeah.

MR. PINKERTON: Okay. And would you repeat the question one more time, please.

Read it back.

MR. HARRIS: It would be better if you do

(Requested text read)

- A. No, not to my recollection.
- Q. (By Mr. Harris) Not to insult your intelligence, but you do know what an anilox roller is, don't you?
 - A. Yes.
 - Q. And could you describe one generally?
- A. It's a roller that is screened or pitted with a specific pattern designed to carry more or less coating or coating material or dispersion coatings.
- Q. Is it generally run in assembly with some kind of a control blade?
 - A. Yes.
 - Q. What is that blade called?

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And can you tell me if such a configuration has been around pre-1990?

Chambered doctor blade.

I object to form as to MR. PINKERTON: which configuration, Bill. You're asking about the anilox roller and the chambered doctor blade, both,

combination?

Make it the MR. HARRIS: Yeah. combination.

> MR. PINKERTON: Okay. Been around --Now on the time, been around prior --

MR. HARRIS: 1990, I believe is what I

MR. PINKERTON: Okay. Been around as of

MR. HARRIS: Yes.

- I don't know. Α.
- (By Mr. Harris) When is the first that you're Q. aware of, that is, the existence of such combination on a Heidelberg press?
 - A. Sometime in 1994.
 - Q. And where did you see such a combination?
 - I believe it was at -- on our demo floor in --A.
 - I'm sorry? Q. On what?
 - On one of our demonstration facilities in Α.

Chicago was the first time I believe I saw that, an anilox roller.

- Q. Uh-huh. And it had a doctor blade working with it, did it not?
 - A. Yes.

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- Q. And where was it mounted with respect to the press?
 - A. On the coating tower.
- Q. That's the caboose end of the press, is it not?
 - A. Yes.
- Q. When in 1994? Is that the same as asking you when you went to Chicago to -- to whatever kind of a meeting it was?
 - A. I don't recall.
- Q. That is the same, isn't it? Chicago was when it was, right?
- 18 A. The first time I saw it?
- 19 Q. Uh-huh.
- 20 A. Yes.
- Q. But what you don't recall is when you went to Chicago?
- 23 A. Right.
- 24 Q. True?
- 25 A. That's true.

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MR. PINKERTON: This is off the record.

(Off-the-record discussion)

- Q. (By Mr. Harris) Do you know how long
 Heidelberg has been running that process? And by "that
 process," I mean the one that has the -- on the coater,
 the anilox chambered doctor assembly?
 - A. I do not know.

MR. HARRIS: Hour and a quarter?
MR. PINKERTON: Yeah, 1:30.
(Recess taken)

- Q. (By Mr. Harris) I'd like for you to refer to what's been marked as Exhibit 2. Now, that is the declaration, is it not?
 - A. Yes.
 - Q. You are nodding?
 - A. Yes, it is.
- Q. I understand you mean yes, but the reporter has trouble with a nod.

I am looking at the bottom lines on the first page starting with, I met Jesse Williamson and Bill Davis in the late spring of 1994, and was told by them of there WIMS proprietary process for printing metallic inks by offsetting lithography.

You do see that, do you not?

A. Yes.

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24 25 Q. And then you say, Upon learning the fundamentals of this process as explained to me by Jesse Williamson and Bill Davis, I told the president of Heidelberg USA -- and you're going to have to help me with that pronunciation.

- A. It's Hans Peetz-Larsen.
- Q. One more time?
- A. Hans Peetz-Larsen.
- Q. Peetz? -- of this process. See Exhibit A. In referring to Exhibit A, we talked earlier, had we not, about it and specifically about your handwriting on the bottom of it?
 - A. Yes.
 - Q. And then -- well, strike that.

And what I want to say instead is, what you're talking about is that the fundamentals of the processes, as you understood them, are at the bottom of Exhibit A; is that true?

MR. PINKERTON: Objection to form in regard to plural processes.

MR. HARRIS: I said process.

MR. PINKERTON: I thought you said

plural. The processes is what I thought you said.

MR. HARRIS: I hope I didn't say plural.

MR. PINKERTON: Object to form in regard

to -- this talks about the WIMS process.

- Q. (By Mr. Harris) Whatever it's talking about, it's on the bottom of the page of Exhibit A and that's what you're making reference to that you learned the fundamentals of the process as explained to you by Jesse Williamson and Bill Davis when they told you about their WIMS proprietary process; isn't that true?
 - A. Yes.

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- Q. Now, looking to Exhibit B that has been referenced by a tag at the end of Exhibit 2 to this deposition, I'm -- I need your help in telling me just what that is. It's an August 5, 1994 letter, is it not?
 - A. Yes.
 - Q. To Bob Boyer?
 - A. Yes.
 - Q. And from Jerry Williamson?
 - A. Yes.
- Q. And I don't -- I don't see you copied on the letter. Did you receive it at that time?
 - A. I received a copy from Bob Boyer.
 - Q. Boy Emery?
- A. Bob Boyer.
- Q. He just didn't happen to put your name down.
- 24 Is that it?
 - A. Jerry wrote the letter. He only copied his --

- 1
- I see what you mean. Q.

A. -- company's people.

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You weren't copied by Jerry, but then Bob got a copy to you. Is that what you said?

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Bob Boyer gave a copy to me. A.

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Now, does this represent a contract, this Q. letter, as you understand it? I'm not trying to make a

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Object to form. MR. PINKERTON:

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A. No.

lawyer out of you.

(By Mr. Harris) Does it? Q.

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No, it does not. Α.

How would you characterize it, then, as to Q. purpose?

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Clarification of some various points and some Α. outstanding questions that were made -- needed response from us.

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Does the letter indicate that there was an oral Q. commitment made to buy presses?

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I have to review the letter. Α.

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Q. Okay.

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This -- particularly in Paragraphs 4 and 5. Α.

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Okay. Let's see here. Q.

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I believe -- and 2 specifically are talking Α. about the purchase of the presses.

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- But you're not sure?
 - Α. No, not sure of the exact --

- I'm sorry? Say that again. Α.
- 0. Paragraph 5 talks about various options of approach to handling a transaction?

various options of approach, however, are there not or

And in 5, there's a discussion about

Α. Yes.

Q.

is there not?

ο. Is that not true?

Uh-huh.

- Yes. A.
- Q. When was the order firmed up in writing to where it would be more than an oral commitment?
 - I don't have an exact date. Α.
 - You what, sir? Q.
 - I don't have an exact date.
- Do you have an approximate date? I'll take Q. what you've got.
- I think that -- I don't have an exact date. approximate date would probably be sometime in August of '94.
- Some period of time later, but maybe not much later, right?
 - Α. Correct.

 - Now, I'll come back to this next paragraph a Q.

little bit later. Where it starts off, At about the time of the August 5, 1994 Jerry Williamson and winds up at the end of the full Paragraph 2 with, They wanted to perform tests to demonstrate the merits of this new, improved process to be conducted in Germany.

Now, I do have one question about that as we pass by it now, however. I don't -- well, strike that.

Let me just say that I would appreciate it if you could tell me if there is any documentation or drawings or any kind of a notation of any sort to support what's stated in that paragraph other than the extent to which you think Exhibit B does that.

- A. Exhibit B also contains correspondence with people at BASF regarding their --
 - Q. Is that correspondence about plates?
- A. About plates and also about what's called a round exposing unit.
- Q. Uh-huh. It doesn't show or tell or suggest anything about a litho flex process or lithography, slash, flexography process, does it?

MR. PINKERTON: Object to form, leading.

- Q. (By Mr. Harris) Would you like to have that read back?
 - A. Yes.

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MR. HARRIS: Would you.

(Requested text read)

- (By Mr. Harris) Are you speaking about the BASF material?
- Α. Yes. My opinion would be, yes, it does indicate --
 - Q. Could you explain?
- Α. -- flexo. This is -- a photopolymer coating plate is a flexo process plate.
- And where are the comments in that material about the lithography part?
- My answer to your question had to do with your question about flexography.
- Well, I was meaning to put it a combination process of some type between lithography and flexography. That's what I meant by my slash and by calling it also litho flex.
- MR. PINKERTON: Object to the use of the term litho flex, as well.
 - I don't know that term.
- (By Mr. Harris) You do know the term Q. lithography and flexography. And do you understand what I'm getting at when I say slash in between? Lithography, slash, flexography, or if you like, flexography, slash, lithography?

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MR. PINKERTON: Just so the record's clear, I think by using slash, you're talking about a combination of flexography and lithography?

MR. HARRIS: Certainly.

- A. I understand that.
- Q. (By Mr. Harris) Okay.

MR. HARRIS: I'm not sure I was clear to begin with.

MR. PINKERTON: You were, then it got unclear. It was great, then it got messed up.

MR. HARRIS: You think so, huh?

- A. So you're wondering if this BASF material indicates what? It does indicate flexography.
- Q. (By Mr. Harris) It indicates something about flexography, right?
 - A. Yes.
- Q. It doesn't talk about lithography at all, does it?
- A. Well, I can't read all of it, so I really can't tell you.
- Q. Well, sir, can you -- you mean the copy's so poor?
- A. Right. I can't make out the third page here at all.
 - Q. Well, I think we can do something about that.

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MR. PINKERTON: Pretty small print.
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                               It's Exhibit B, isn't it?
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                  MR. WILSON:
                  MR. HARRIS:
                               It is.
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                  THE WITNESS: Last page of Exhibit B.
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                  MR. WILSON:
                               This one that you gave me,
 5
   Bobby, doesn't have any of this BASF stuff in it.
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                  MR. PINKERTON: Let me see it.
                                                   That is
   1265?
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                  MR. WILSON: Here it is right here.
                                                        We
    got it reshuffled.
                  MR. HARRIS: Bobby. Did you short sheet
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   us?
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                  MR. FALK:
                             Pardon?
                                      What are you saying?
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                  MR. PINKERTON: We got it.
                                               It got
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               The exhibits are out of order.
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                  MR. WILSON: Is that the same stuff?
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                                  Yeah, I think.
                  MR. PINKERTON:
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    It's the same document. It's got that little arrow at
1.9
    the top just like this one does.
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                  MR. FALK: Are we all referring to
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    W001265?
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                  MR. PINKERTON:
                                  Yeah.
                                         Of course, here
    it's not numbered, but he's looking at a copy of that
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    that's not Bates numbered.
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                  MR. HARRIS: What did you say Bobby?
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number?

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MR. FALK: On this one, on your subpoenaed version, this is W001265. It's the Bates number at the bottom.

MR. HARRIS: That's true.

MR. FALK: Okay.

- A. There's a line -- a section here called Suitable, I believe it is. Suitable coatings and inks on this third page.
 - Q. (By Mr. Harris) Meaning what, sir?
- A. If you're asking my opinion, they're listing acquiesce coatings, UV coatings, varnishes, coatings and inks on the base of alcohol and ethyl acetate. So there -- to answer your question, would this -- do these indicate flexography as well as lithography, I would say yes.
- Q. Or do you mean lithography as well as flexography?
- A. I'm differentiating -- how do you mean? How are you --
 - Q. Well, I think it's mainly, you said, pointed towards flexographic plates, isn't it?
 - A. Yes.
- Q. That's the main thrust of the BASF material.

 And the -- what you've referred to is, I guess, some

- Q. How is that done? What does it say? Does it say lithograph? Does it say flexograph?
 - A. No, it does not say.

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Q. Does it say flexograph or lithograph or what?

MR. PINKERTON: Did he have an answer in there?

THE REPORTER: He said, no, it does not say.

MR. PINKERTON: Oh, okay.

MR. HARRIS: Did he what?

MR. PINKERTON: He gave an answer. I didn't hear it.

MR. HARRIS: Did he?

MR. WILSON: He said, no, I don't see it or something like that.

MR. PINKERTON: Yeah.

- Q. (By Mr. Harris) Is the reference you pointed out the closest thing to perhaps suggesting lithography that appears in that material?
- A. I've got to say for one thing, I'm a little confused by lithography -- your use of the word

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lithography and flexography in that you can combine the two processes on the same machine, but the two processes are unique to each other.

- Q. I understand, sir.
- A. So when you ask does a flexography plate combine flexography and lithography, then the answer is no. Can the two processes be mixed, utilized at the same time on a printing press, the answer is yes.
- Q. But that's not what this BASF attachment's about, is it?
 - A. It is about making flexo plates --
 - Q. Thank you.
- -- that are in a round -- on this round exposing unit have extremely tight registration And the only reason for that registration tolerances. tolerance is if you were going to use that plate for printing a -- an image that has to register, has to be -- has to be equal. Otherwise, this piece of material, if I lay it flat and expose an image on top of it, I run the risk of having what -- and I take that and I put it on a cylinder and it was curved, I run the If I expose it in this risk of having distortion. position, which is called the round plate maker, then I expose it on exactly the same diameter cylinder as a printing cylinder on a Heidelberg press. So I'm going

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to have exact registration. And that's only -- the only time that's needed is when you are either printing or registering an image, not just coating the whole sheet.

- Registering an image like on another image? Q.
- Right. Α.
- Q. Alignment?
- A. Yes.
- Would you look at Paragraph 3 with me, please. (Conference between the witness and Mr. Pinkerton)

MR. PINKERTON: Counsel, I think the witness wants to expound on his previous answer. you like for him to do that?

MR. HARRIS: I'm trying to figure out what his previous answer was.

MR. PINKERTON: Well, I think he had said what documentation is as it relates to this portion of Paragraph 2 that you brought his attention to. started off by looking at the BASF materials, at Exhibit B, and he's now looking at other materials. And I think he's got some comments about those.

- (By Mr. Harris) Do you have some additional Q. materials that we haven't talked about?
 - These are in Schedule C. Α.
 - In what C? Q.

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A. Schedule C.

MR. PINKERTON: Tab C.

- Q. (By Mr. Harris) I thought we were going down here in Paragraph 3. I was coming on down to it.
 - A. Okay.
- Q. And are you talking about this third line of Paragraph 3 where it talks about a proposal from him for Williamson (Exhibits C, D) in early September?
 - A. Yes.
 - Q. Is that what you're talking about?
 - A. Yes.
- Q. Well, fine. Let's turn to those exhibits, if we can.

MR. PINKERTON: Bill, I -- just for the record, I don't want to confuse things here, but -- again, you said you're coming back to this Paragraph 2?

MR. HARRIS: Yes.

MR. PINKERTON: We'll just do it then.

MR. HARRIS: Yes.

MR. PINKERTON: Okay.

MR. HARRIS: My goodness. How many pages

do we have here? We have a W001269 --

MR. PINKERTON: 11.

MR. HARRIS: W001268, isn't it?

MR. PINKERTON: Yeah.

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MR. HARRIS: That starts --

MR. PINKERTON: 1268 through 1279, uh-huh.

- (By Mr. Harris) Are you in agreement that Q. that's C?
 - Α. Are you asking me?

: : A

- Q. Yes.
- That is Chapter -- or Tab C. Α.
- And sir, what is C about? Q.
- It's about a round exposing unit and also the Α. They call it nyloflex. If you look on flexo plates. W001272 under, Products and Benefits, it explains what I was saying --
 - Is it -- I'm sorry. 0.
 - -- having to do with resolution registration.
- Does it have any reference in it or description in it regarding lithography?
- I'm, again, having a little trouble with lithography and flexography being that lithography, to me, means the transfer of an image to a blanket -- an offset blanket and on to paper. And lithography and lithographic plate and a flexographic plate do the same They put an image on a blanket. thing.
- Isn't it a fact that the plates differ in that ο. you find recessed or raised portions on a flexographic plate and you don't on a lithographic plate?

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A. That's correct.

- Q. And isn't it also true that in general in flexography, you talk about water base, and in lithography, you talk about oil base? Isn't that true?
- A. I couldn't answer that. I'm not sure what coatings are made up of.
- Q. Would your answer be any different if I talked about inks and I talked about the lithographic inks being oil based and the flexographic inks being water based?
- A. -I can't answer that. I don't know the answer to that question.
- Q. So is it fair to say that there may be wrinkles to flexographic printing and lithographic printing that you don't know about insofar as the distinctions are concerned?

MR. PINKERTON: Objection, form.

- A. I don't understand your question either.
- Q. (By Mr. Harris) Well, do you agree with me that you're not an expert in the distinction between lithographic printing and flexographic printing?
- A. I'm not sure how to answer your question. I would say that I am not an expert in the flexographic process.
 - Q. As to the lithographic process, can you tell me

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whether the inks are oil based or water based?

- A. They could be oil based. They could be soy based. There are water based. There are varied -- there are all kinds of inks. They could be all different kinds of inks.
- Q. Do you consider yourself an expert in lithographic printing and the inks and apparatus thereof?
 - A. Lithographic printing?
- Q. Yes, and the inks and apparatus thereof and therefore.

MR. PINKERTON: Objection to the form.

- A. I'm not sure what your -- can you define expert?
- Q. (By Mr. Harris) Someone 100 miles away from home with a pretty good pocket book. I don't know. One is an expert or they're not an expert. I admit there is matters of degree to it. The lawyers argue about it these days in a case called the Daubert case. And we don't know what experts are. But I know whether you think you're an expert or not because of what you say. And that will be interesting to put on the record whether you think you're an expert or not.
 - A. Yes, sir.
 - MR. PINKERTON: Object to the form of the

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expert?

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question in terms of being vague and ambiguous for a lay witness to define and term expert.

MR. HARRIS: Does "lay" mean he's not an

MR. PINKERTON: He's just -- he's in the printing business.

- Q. (By Mr. Harris) Not trying to put you down on that, sir. I just want your view of it so I'll know how to proceed in this lawsuit.
- A. There are people who would be experts. There are people who have knowledge to varying degrees and then there are people who have no knowledge of the process. And I would tend to put myself somewhere in that category of having a degree of knowledge and being somewhere on that scale.
 - Q. Reasonable summary to say somewhere in between?
 - A. Somewhere in between.
- Q. Okay. With your degrees of knowledge in this matter, you testified about flexo and you testified about litho. Can you explain the difference between the flexo inks and process and the litho inks and process?

MR. PINKERTON: Objection, form. That question's vague and ambiguous and compound.

A. I think I answered that question. You may want to check your record, but I believe it was answered.

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Q. (By Mr. Harris) Are you able to give an answer to the question?

- A. I have knowledge of both processes to varying degrees.
- Q. I believe the question was to explain the difference.

MR. PINKERTON: Objection to the form again, asked and answered.

- A. I believe -- I believe I've answered the question.
- Q. (By Mr. Harris) You believe if we read back all of your testimony, you'd have an answer to that?
 - A. Yes, I do.
 - O. What?
 - A. Absolutely.

MR. HARRIS: I'm giving some thought about whether to do that or not. I hate to ask for it, but let me take three or four minutes here.

(Recess taken)

- Q. (By Mr. Harris) We talked earlier about, in a very general sense, D paired up with C in your affidavit on Line 5 of Paragraph 3. And so I want now to go to Exhibit D. And what is Exhibit D?
- A. It's a proposal from BASF to Williamson

 Printing for a round exposing unit and also addresses

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24 25 their plate pricing and so forth.

- Q. And also what? I'm sorry.
- A. Plate pricing.
- Q. Explain to me in a little more detail what this equipment is.
- A. The equipment is a round exposing unit and it -- it exposes the image from a negative transfer.

 Transfers it from a negative to -- with light to the printing plate. In this case, their brand is a nyloflex flexographic plate.
- Q. And is there any other equipment discussed other than that?
- A. Processing unit. What's called a washout unit.
 - Q. A what kind of processing is it?
- A. It's called a nyloflex washout unit. What that does is processes the image to the plate.
 - Q. Can you describe that?
- A. It's a machine which the plate's inserted into the machine and the un- -- I call it the non-image area of the plate is removed.
- Q. What is the date of this purchase option?

 MR. PINKERTON: Are we looking at

 Exhibit C or Exhibit D at this point in time, Bill?

 MR. HARRIS: I don't know. I think we're

looking at D instead of C, but --1 THE WITNESS: 2 I'm looking at C. MR. HARRIS: It looks like what we're 3 really looking at is -- I'm mistaken. And what we're 4 really looking at is C, the last part of C. 5 6 MR. PINKERTON: That's what I kind of 7 gathered, too. 8 THE WITNESS: I'm looking at C. 9. MR. HARRIS: So this is the last part of 10 what we've already talked about, isn't it? 11 (By Mr. Harris) Isn't this the last part that you already testified to just a few moments ago? 12 13 I think we were talking about B. Now, the 14second part of B, which was information on the round 15 exposure unit. 16 0. Well --17 And the last three pages of Section B --18 Section C is dated October 13th, '94. 19 MR. HARRIS: Yeah. I think -- off the 20 record. 21 (Off-the-record discussion) 22 Q. (By Mr. Harris) I was treating one as another 23 section when it --MR. PINKERTON: 24 Okay. Okay. So we're 25 talking about D now?

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MR. HARRIS: No, no. I'll go ahead and cover what I hadn't covered.

MR. PINKERTON: Okay. On C?

- Q. (By Mr. Harris) Now, what we really just had testimony about in the appendix or attachments to your affidavit I have as W001265 being the last page. Is that correct? It's the last page of B?
 - A. Yes.
- Q. Okay. Now, then, we go to C. Is C related in some manner to B?
 - A. To the last three pages of B, yes.
 - Q. And what is that relation?
- A. Both C and D are quotations and proposals for the equipment described on the last three pages of Section B.
 - Q. And is this a proposal from BASF?
 - A. Yes.

MR. PINKERTON: This being Exhibit C.

- Q. (By Mr. Harris) This being C now?
- A. Yes, sir.
- O. And what is D?
- A. D is a preliminary pricing given to me on September 6th from Domenic Coppola who is a sales -- was at the time the salesperson for BASF.
 - Q. And to be clear about it, what is being

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- A. A round exposure unit. RB 270 is the model.
- Q. As you generally described the ground unit earlier?
 - A. Round unit.
- Q. Round unit earlier. I'm sorry. My mouth is slipping.
 - A. Yes.
- Q. Now, what is it in these Exhibits C, D, and E -- let me back off -- let me put it this way.

There's subject matter about a possible transaction or material from BASF that's contained in B, C, and D. Agreed?

- A. Yes, and E.
- Q. Okay. And what I would like to know is, what is there about this material that causes you to believe that your point in your affidavit is supported?

MR. PINKERTON: And can we focus on a particular point in the affidavit?

MR. HARRIS: Where he made the point.

- Q. (By Mr. Harris) Take 3, where you talk about C and D. I see you saying, Round exposure unit for making flexographic plates and received a proposal from him for Williamson in early September 1994.
 - Is there something there that supports

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the position that some disclosure was made to you by Williamson --

- A. Previous paragraph --
- Q. -- other than what you just say?
- A. The previous paragraph explains that.
- Q. Well, I see Exhibit B referred to in the previous paragraph.
- A. No. If you read past that, there's an explanation.
 - Q. Huh?
- A. If you read past there, there's an explanation as to why BASF is mentioned.
- Q. Well, why don't you tell me why. Would you, please.
- A. Jesse and Bill talked about improving their WIMS process and the ideas that they had about it doing it with flexography. One pass being their goal. They talked about add-on units, talked about other ways of doing it -- doing this. Also adding maybe some drying experimental drip -- inner depth drying units, but they wanted a test. And that leads into they wanted to test the process and then they needed to test the flexographic plates from BASF for accuracy, registration, and resolution.
 - Q. So then is it fair to say that the points

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you get out of this is showing your support that flexographic plates were being sought by them in or around September through your efforts?

- A. Yes.
- Q. Do you suggest that the exhibits show anything else other than that?

MR. PINKERTON: Object to the form of the question. The exhibits will obviously support --

- A. It's all there.
- Q. (By Mr. Harris) Is that a complete statement, what you make in Paragraph 3, I guess, is my point. Is that what you attached these materials for, to show that flexographic plates were being ordered or at least a proposal was being obtained for them -- for Williamson in early September of '94? Is there anything further that you believe is shown?

MR. PINKERTON: Object to the form. It's vague and ambiguous.

- A. I have to agree with that.
- Q. (By Mr. Harris) What?
- A. I'd have to agree with counsel. Vague and ambiguous statement.
 - Q. You don't know what I'm talking about?
 - A. I refer to my statement here.
 - Q. Is that the point of having these attached to

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your statement under Paragraph 3?

A. Yes.

MR. PINKERTON: Object as to form.

Q. (By Mr. Harris) And then all I was asking is, is there some other thing that I'm missing? Is there some other thing that they're supposed to show other than what you say in Paragraph 3?

MR. PINKERTON: I'm going to object to form because I don't know that he's going to know what you might be missing, Bill.

MR. HARRIS: I hope it's here.

- A. I don't believe there's anything missing.
- Q. (By Mr. Harris) Okay. Then I won't look for any additional snakes in there. And does this early September reference assist you in putting a date in when you had this explanation from Jesse Williamson and Bill Davis about their intention to improve the existing WIMS process?
- A. No. As my statement says, I believe that was more in late spring of '94. And August, more in -- we were having conversations about the -- what we'll call the LYL Heidelberg machine as early as late spring. And then in -- so it's talked about at that time and then also in August, around the time of this letter, this August 5th letter.

- Q. Is there a boil down -- what was that answer?
- A. What was the question?
- Q. The question was, did it help you any -- from the early September date that was on this exhibit leading to BASF, did it help you any to date when you had an explanation from Jesse Williamson and Bill Davis that they intended to improve the existing WIMS process?
- A. No. I believe that the August 5th letter,

 Tab B, Paragraph, 3 into -- better date, better support

 that we'd discussed it even before August 5th.
- Q. What is there in Paragraph 3 that -- what is it in Paragraph 3 that causes you to believe that?
- A. In Paragraph 2 and 3, we're talking about a six color press that has a triple tower configuration to coating units. Then Paragraph 3 discusses going to a demo and seeing one of these machines with the chambered -- which had the chambered doctor blade system in Canada, in Montreal. That was subsequently done. So the --
- Q. How does that tell you that? How does that give you that information?
- A. We agree with your suggestion not to place the order for this unit until after we have had our demonstration at Interglobe Printing in Montreal,

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Canada. The reasons for going to Montreal were twofold. One was the chambered doctor blade system -- anilox system, and two was to see the gloss levels of UV coating.

- Q. Of what kind of coating?
- A. Ultraviolet, UV.
- Q. Was it anticipated that flexographic printing would take place there?
 - A. Oh, yes, spot coating in this particular --
- Q. And that spot coating would be done with those coating towers?
 - A. Yes.
- Q. So this really isn't about flexographic coating up front as far as this particular item's concerned, is it?
- A. It's about the registration, accuracy, and the coating level, distribution level of the anilox chambered doctor blade system, which was being explored for the printing process.

MR. HARRIS: Would you repeat my question.

Q. (By Mr. Harris) I'm not sure you answered my question. I think you gave me an alternative statement.

(Requested text read)

A. I think I answered that.

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Q. (By Mr. Harris) I think what you did is tell me what it was about. And I asked you, I believe, what it wasn't about. And do you agree with me on the statement of what I said it wasn't about?

MR. PINKERTON: Objection as to form, vague and ambiguous.

What did you say?

MR. HARRIS: Read it back one more time what I said back when.

(Requested text read)

- A. I believe I answered your question.
- Q. (By Mr. Harris) What?
- A. I believe I answered your question.
- Q. I don't believe you did. I'm asking you if there was flexographic coating in a press system up toward the front of the press in Montreal or planned for Montreal?
 - A. This is a new question.
- Q. Well, and maybe it's a better one. Let's see if we can do something with it.
 - A. Not to my knowledge, there was not.
 - Q. Okay.

MR. PINKERTON: That was a much better question, Bill. I like that one.

MR. HARRIS: You liked that one?

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MR. PINKERTON: Much clearer.

MR. HARRIS: I formulated it for you,

John.

- Q. (By Mr. Harris) You made reference to Item 2 in that letter. What is there about Item 2 that particularly jogs your memory or says something to you about when you got an explanation from Jesse Williamson and Bill Davis about intent to improve the existing WIMS process?
- What jogs my memory is that what's being Α. discussed here is the six color triple tower, double coater press, which includes the anilox chambered doctor There -- the last sentence of the last -- the system. tail end of the sentence talked about there not being one of these presses available for five or six months. And Williamson says, We agree to substitute the six color triple tower coater, double coater press for the first eight color press we had originally ordered in the first press order. And so what this is telling me is that there already existed an order for the triple tower It had been discussed and come to the point of here saying, you can't get us one for five to six months, so let's go ahead and deliver an eight color in the meantime.

MR. PINKERTON: You mean the six color?

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Okay. Q.

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again.

Α. And I've answered it.

I, just for the record --MR. PINKERTON:

MR. HARRIS: Six.

THE WITNESS:

MR. PINKERTON: He said eight. Yeah. Ι think he meant six.

Yeah.

MR. HARRIS: It's okay with me.

MR. PINKERTON: Okay.

- I had that backwards. Α.
- (By Mr. Harris) Is there something under 0. that 2 about flexographic painting -- printing -flexographic printing?
 - Not specifically there in Paragraph 2, no.
- Well, now, then in summary, what is there about 2 and the 3 that made you think that the Williamsons -that Jesse Williamson and Bill Davis had explained this intent to improve the existing WIMS process? according to what they did or didn't do. I want to know right now what there is about these two paragraphs that caused you to believe that you can date when they had a conversation or a contact with you.

You've already asked that. I'll answer it

- I appreciate that.

- A. Paragraphs 2 and 3 both are discussing a press with the anilox chambered doctor blade system. And that subject covered both coating, but also this flexographic process for coating as well as metallic dispersion coatings of gold and silver, bronze, all kinds of applications.
- Q. Are you telling me included in them were a number of things?
 - A. These two paragraphs?
- Q. Yes. They covered a number of things insofar as what could be done by this -- well, let me just kill all that and start over again.

I was trying to find out what helped you date a conversation or a contact. Do you recall that?

- A. Uh-huh.
- Q. And in so doing, I've asked about Paragraphs 2 and 3 because you had made reference to them. And I understand that the doctor blade system has something to do with that apparently. Now, just what is it?
 - A. It -- what is the system?
- Q. Yeah. What is it that chambered doctor blade has to do with reminding you of such a conversation or contact with Mr. Davis and Mr. Jesse Williamson?
- A. They as -- they wanted to see Heidelberg's chambered doctor blade system and to be able to analyze

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it for the several reasons that I mentioned before, the coatings, but also for dispersion coatings and metallics. And I think we even did testing with some pigmented coatings, color coatings.

- Q. Where was the doctor blade unit mounted?
- A. On which press?

MR. PINKERTON: Object to form.

- Q. (By Mr. Harris) In the Montreal system.
- A. On the two coating units.
- Q. That's at the rear end of the press, is it not?
 - A. Yes.
- Q. So there's nothing about 2 and 3 that specifically suggests the front end of the press for doctor blades or anything else, is there?

MR. PINKERTON: Objection, leading.

A. There is not a direct reference. However, the statement in Paragraph 3, We agree with your suggestion not to place an order for this unit until after we have had our demonstration at Interglobe Montreal, Canada and after having received a presentation from the manufacturer.

There's -- in that, our suggestion was test this system for your uses -- your intended uses.

And that was -- Montreal was the first test for that.

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Q. (By Mr. Harris) Were you there?

- A. No.
- Q. Did you get a report?
- A. Not written. Verbal report.
- Q. What was the sense of the report?
- A. That the chambered doctor anilox roller system appeared to do -- to down the thicknesses of coatings and volume and -- that they would need and that the coating plates, the flexographic plates that were used, however, did not fit well, which led into the round exposing unit.
 - Q. Led into what?
- A. Led into exploring the round exposing unit, which greatly improves the flexographic plates' registration and resolution.
- Q. I thought you were checking into the round plates well before Montreal. What I'm reading makes me think that --
- A. September 6th was roughly the time frame of my first inquiry --
 - Q. Yeah.
 - A. -- to BASF.
 - Q. And when was the Montreal thing?
 - A. That was --
 - Q. Why don't you try Exhibit E and see if it

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helps. I'm not sure that it's clear. But if it is, though, please tell me.

- A. Montreal, November 3rd, 1994. I find that on Section E, W001282.
- Q. So that had you checking into the round plates really before the event instead of after it, didn't it?
 - A. Yes.
 - Q. Do you know why?

F:

A. The reason is we knew -- I'll say we, as Heidelberg people, as well as Williamson people, knew that the computer interpolation of round distortion is not accurate. And the Montreal trip confirmed that. So our search began looking at people who were having to make plates that registered well. And the feedback that we got from our factory as well as -- if you'll notice in the letter dated October 17th, Point 6 points out that we, the Heidelberg Factory, uses the round exposure unit for demonstration for printers around the world and cannot be without this unit.

This is the -- if you look at Paragraph 2, it references the spot coating register, again, the registration issue that occurred in Montreal. And --

- Q. Paragraph 2 of which letter?
- A. October 17 letter, Section E.
- Q. Uh-huh. I have it. Section what? Tab E?

MR. PINKERTON: Tab E.

MR. HARRIS: Tab E.

- Q. (By Mr. Harris) Well, I have Tab E and I have the October 17 letter.
 - A. Paragraph 2.
- Q. So when we get beyond registration and plates and we come to -- just a minute now. I'm just about to skip something. What significance does the October 26th, 1994 letter under Tab F have to you?
- A. This is -- in 1995, what we call DRUPA, we had design changes being made to our presses. Now, the six color triple tower coater machine is scheduled -- was scheduled to ship December '94. Meaning that it would not have the updates, design changes that would be forthcoming in May. It says third quarter here in '95. And then John Dowey, who wrote this letter, the last paragraph refers to December 10th -- week of December 10th trip to demonstrate the chambered doctor system for coating and to give private showing of the DRUPA design. Those -- that's referring -- that didn't actually happen, I think, until January.
 - Q. It was put off, wasn't it?
 - A. Right.
- Q. Well, what -- to you, is there any special significance of that letter other than just what's

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MR. PINKERTON: Object as to form.

- Q. (By Mr. Harris) With respect to your affidavit, does it have any special significance?
 - A. The significance in my affidavit --
 - Q. Yeah.
- A. -- is that it referred to the original December 10th date -- original date and followed up with --
 - Q. This January date, I don't have it before me.
- A. January 20 through 21st. So significance had to do with the chambered doctor blade system and that we were going to test in Heidelberg.
- Q. Okay. Let's take a look at G because it's here. And that's really more of the same thing, isn't it?

MR. PINKERTON: Object to form.

- Q. (By Mr. Harris) That's November 8, Heidelberg to Bob Boyer. I have it under Tab G. I take no responsibility for tab numbers.
- MR. PINKERTON: Yeah. Two letters under Tab G, November 8 and November 7. One letter, one memo, I guess.
 - MR. HARRIS: Yeah. I really hadn't said anything about it yet, but I agree with you.

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- Q. (By Mr. Harris) My question's simple enough. Under G, the document, W001287?
 - A. Uh-huh.
- Q. Dear Jerry and Jesse of November 8th, '94 from Bob Boyer. What --
 - A. From Bob.
 - Q. -- significance --
 - A. Okay.
 - Q. Huh?
- A. Go ahead. I'm just making sure I'm on the right page.
- Q. What significance does that document have to you as it relates to your affidavit?
- A. Pointing toward the testing of both the flexo plates and the chambered doctor system is note worthy. That's --
 - Q. Is what?
- A. That is -- that and also some -- the desire to be able to have special clamps, plate clamps put on the press so that they can register the image in a better way. They had more -- more registration capabilities.
- Q. And the letter's generalized as to the -exactly how the plates would be used and the clamps
 would be used except for the fact that it appears they
 would be used in conjunction with the coating tower,

doesn't it?

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- A. Yes. You would need to retrofit a particular unit.
- Q. And the chambered doctor system would also be on the coating tower, wouldn't it?
 - A. Not normally.
 - Q. Huh?
 - A. Not normally.
- Q. But it says so right here, though, doesn't it, in the letter?
 - A. No, it doesn't say that.
- Q. Third line, what does it say? Chambered doctor system for the coating tower.
- A. It doesn't say it's normally on a machine.
 It's --
 - Q. Well, that --
 - A. -- abnormal.
- Q. Let me ask you if in this letter it doesn't say that the chambered doctor system for the coating tower. And what you're telling me is that a lot of coating towers don't have doctor systems?
 - A. Yes, that's correct.
 - Q. Isn't that what you're correcting me on?
- A. Yes.
- Q. Okay. I consider myself corrected. All I'm

trying to find out from you is that's the location that's -- this letter is considering for the coating tower, is it not? That is --

A. Yes. I --

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- Q. -- for the chambered doctor system for the coating tower?
 - A. Yes. I answered that question.
- Q. Yes. And then still in this letter, it's thought about the date for the German trip to be December 10, right?
 - A. Yes.
- Q. And then you come over to the next page in the same exhibit number or appendix condition -- and that's G, second page, W001286. And it's related basically to the same topic, isn't it, as the prior letter?
 - A. Yes.
- Q. And then I come to a group of calendars on -- calendar pages --
 - A. Uh-huh.
- Q. -- under Exhibit H. And I trust that's your calendar?
 - A. Yes, it is.
- Q. Now, you'll have to help me on dates because there's not a single date I can read on a single one.
 - A. We've got the original or not?

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- Q. Let me hold off on what I just said.
- A. It's normally up in the upper right corner.
- Q. I've been given a copy.

MR. WILSON: It's another bad xerox. I think this is Exhibit 3. This is out of the copy that Mr. Pinkerton brought earlier that has the dates on it.

MR. HARRIS: Thank you, Mr. Pinkerton.

(Exhibit 3 marked)

MR. PINKERTON: See, we've got on the record that that's a copy of Tab H --

THE WITNESS: Yes.

MR. PINKERTON: -- to Exhibit 2.

MR. HARRIS: Yes. We had to find a better original or copy in order to make that copy.

MR. PINKERTON: Yes.

MR. HARRIS: That's true.

- Q. (By Mr. Harris) And referring to that exhibit -- is what has become Exhibit 3?
- MR. PINKERTON: Right. We've marked it as Exhibit 3.
- Q. (By Mr. Harris) Exhibit 3, just to sort of tickle your memory, tell me something that I'm just dying to know. I would like to know what you had for lunch at the company's cafe.
 - A. Which day?

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O. Don't read it. It's not fair to read it.

- A. Salmon.
- Q. There were some other things that were there, too. What else was there in the way of food?
- A. We had dessert that was cleverly disguised as a salad. You thought you were biting into a tomato and it was a sorbet.
 - Q. Ice cream shaped?
- A. Uh-huh. There was, oh, slice of avocado that turned out to be pistachio ice cream. That's the meal I had.
- Q. Looks like to me they were just trying to fool the passenger, but they were giving them something good?
 - A. Uh-huh.
 - Q. It's actually a company cafe, wasn't it?
- A. It's a -- called the Casino. Chef there for -- at all times for visits -- visitors.
- Q. Would you quickly give me a rundown on who Michael Yates is and Steve Clark and the company they're with.
- A. Most of the fellows are from Wolstenholme, an English company.
- Q. What do they make? Are they in the inks business or what?

- A. Well, they make -- well, I don't know if they make inks, but I know they make the powders or the granulated metals, gold, silver, bronze. I don't know if they make -- put those in the inks and sell them or if they sell their powders to ink companies. I'm really not sure about that ink.
- Q. And the two Williamsons and Bill Davis were directing the tests; is that true?
 - A. Primarily Jesse and Bill Davis.
- Q. And Peter Schwaab and Reginald Retting and Klaus Sauer, who are those people?
 - A. Those are all Heidelbergers.
 - Q. Do you really call them Heidelbergers?
 - A. Yeah. The town's name is Heidelberg, so --
 - Q. I see.

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- A. They -- it's like being a Dallasite or a New Yorker. Klaus was our lead press demonstrator.
 - Q. Was what?
 - A. Our lead press demonstrator. And --
- Q. He was from Heidelberg -- and I can't say the next one. Drucksmaschinen or what is it?
 - A. Drucksmaschinen, uh-huh.
- O. A.G.?
 - A. Yes.
 - Q. And that's the German Heidelberg company?

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- Yes. A.

Uh-huh.

Klaus? 3 A. Q.

Q.

Klaus was the lead press demonstrator. A.

And what was his position with the company?

- And Bob Boyer, your supervisor, huh? Q.
- A. Yes.
- Q. Is he with the company anymore?
- Α. Yes.
- What does he do now? Q.
- He is vice president of sales, south region, Α. Kennesaw, Georgia.
- Do you have any kind of an office? Q. "you," I mean, the office in New York City.
 - Actually in Jersey. A.
 - 0. What?
- It's actually in Jersey. At -- for 40 years up until 1995, the headquarters -- national headquarters was in New York City.
- That explains my confusion on it. Now, then, Q. what was the test that was run under this Paragraph 4? Tell me in as much detail as you can.
- Tests were -- well, there were several A. different -- a couple of different forms, as I've Some -- there was images of a lot of things

- Q. What specifically was done to obtain these materials that you've described?
 - A. To obtain --

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- Q. Products, you can call them, whatever. What was done in the way of process or equipment in order to obtain them?
- A. Oh, well, we have paper on hand at our facility.
 - Q. Right.
- A. We have inks on hand. Wolstenholme provided the metallics.
 - Q. Gold, silver, both, what?
 - A. Gold and silver.
 - Q. And water based, or were they oil based?
 - A. I couldn't tell you that.

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- Q. Okay.
- A. I don't know that. You asked about now. And we had plates made -- flexographic plates made on the BASF round exposing unit. We ran the test. Took the better part -- all of two days, maybe three -- two. We ran one pass. We put down in -- through the anilox roller, we put down the gold.
- Q. How many stations did you have? Let me break in so I get this in mind as you're explaining.
 - A. How many stations?
 - Q. Yes, printing stations.
 - A. There were five, I believe.
 - Q. Okay.
 - A. Plus a coating unit.
- Q. And where did you position the plates, flexographic plates?
 - A. On the coating unit.
 - Q. That was at the back of the press unit?
 - A. Right.
 - Q. Okay. And then what happened?
- A. We ran blank through the press and printed only with the coating unit with the flexo plate. Printed either the gold or the silver and by -- and then let that dry and came back through with the process colors of black, blue, red, and yellow. So we were simulating,

putting down the metallics first in the process after that.

- Q. Was it your belief that's the first time that had ever been done?
 - A. That --

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- Q. What you just described?
- A. -- particular test?
- Q. Yes. Maybe not with five units. It might be with more or less, but the idea?
- A. The idea of doing -- of -- yes, putting the dispersion -- the metallics through the anilox system is the first time I know of it. But the process of printing with the four process colors and gold and silver had been done before --
 - O. Uh-huh.
- A. -- through the printing units. So to answer your question, but, to my knowledge, this is the first time that we were able to simulate for the Williamsons.
- Q. Was that because of the doctor system you were talking to me about?
 - MR. PINKERTON: Objection to form.
 - A. It's a combination.
 - MR. PINKERTON: Hold on.
- A. It's a combination of --
 - Q. (By Mr. Harris) Well, isn't that the only

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MR. PINKERTON: Objection to form.

thing that you distinguish from the past?

- A. No.
- Q. (By Mr. Harris) Help me and do it then.
- A. I was fixing --
- Q. Go into more detail.
- A. -- to before you interrupted me.
- Q. I'm sorry. I didn't mean to interrupt you. Now please do it.
- A. Okay. There was what was involved the round exposing unit. It involved the new flexographic plate, which was new technology. And it involved the anilox system.
- Q. Well, was the -- if I may talk about an addition, that would be the anilox system to what had been perhaps done before?

MR. PINKERTON: Objection.

Q. (By Mr. Harris) Did I not understand you to say that in the past, well, the colors had been run and the flexographic step had been taken in two passes?

MR. PINKERTON: Objection as to form.

And I think that that mischaracterizes his previous testimony.

MR. HARRIS: Well, it may have been

backwards.

is.

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question.

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- I'm not sure what you're -- what your question Α.
- (By Mr. Harris) What had you said had been Q. done before? Let's start all over again on this one.
- Α. What had been done before was printing gold and silver or gold or silver in line with process colors, which are black, blue, red, and yellow. That had been done with conventional plates. And in terms of -- and then you made the assertion that the anilox roller system was the only thing tested at this time.
 - Not tested, added. Distinguishing --
 - Yes, and I disagree with that.
- Okay. Well, what was different, then, besides the anilox system was used?

MR. PINKERTON: Object to the form of the And I also object to -- I don't know the full extent of your question, Bill, but from a patentable distinction, I certainly think this witness is not And I don't think you're asking the -qualified.

> MR. HARRIS: I'm not asking a patent

> > MR. PINKERTON: Okay.

What I see to be distinguishable is the anilox Α. roller system chambered doctor blade, the round exposure This plate -unit, and the plate material itself.

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Q. (By Mr. Harris) Uh-huh.

- A. -- was different, was new because it had a -- an aluminum backing to it. Historically, flexo plates do not. They're like a piece of rubber. This metal added the measured stability that we were looking for, that everybody was looking for for registration and resolution.
- Q. At that time, was there discussion about how you might go about moving the coater apparatus up front to do the -- to do the flexographic step first at that time?
- A. Yeah. I mean, that was -- at that time, the idea was test the theory by two passes with -- always the idea you had the -- the idea had to be, you can't lay opaque colors over a trans- -- over the transparent. So the gold or silver being opaque has to go down first.
 - Q. Okay.
- A. So it was always the idea somehow to be able to lay down those colors in the first two units.
- Q. Well, did the group of you discuss a specific way you were proposed to do that while you were at that test?
 - MR. PINKERTON: Object as to form.
 - A. Not that I recall.

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MR. HARRIS: I'd like to take a break

MR. PINKERTON: Okay. Good timing. (Recess taken)

- Q. (By Mr. Harris) The attached documents to your declaration were gathered by someone. Did you gather them?
 - A. Yes.
 - Q. How did you go about it?
 - A. Went to my files.
- Q. What tests did you use to decide what was a helpful document or one you wanted and what wasn't?
- A. I brought what I thought pertained to anything to do with the anilox roller system or WIMS or the print testing that we did.
- Q. Let me direct your attention to Paragraph 5 of your declaration.
 - A. Uh-huh.
- Q. In Paragraph 5, starting on the bottom of the page, Finally some test-type patterns, getting ready to turn, all configured on one approximate 25 inch by 38 inch sheet to be run through the press, first with one or more flexography runs using an anilox roller and the flexographic plates made from the BASF equipment previously mentioned, and followed up by an offset

lithography.

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My question to you is simple. Why did you not do that in one pass?

- A. Because as is stated here, the anilox roller in that system was on the coating unit at the end of the press.
- Q. For what reason? You'd agree with me that it could have been put somewhere else?
 - A. No.
 - Q. It couldn't have been, could it?
 - A. No, not that I know of.
- Q. Was there not equipment available by which you could do it with one pass?
 - A. Not from Heidelberg.
 - Q. What?
 - A. Not from Heidelberg.
 - Q. Was there anywhere that you're aware of?
 - A. Not that I'm aware of.
- Q. Do you want to give me some hearsay that somebody else is aware of?
- A. I know that there are several companies that have been involved in aftermarket coating units. I'm not an expert on those, but among them are Doggren, Epic, Rykaline, I believe is a name, and Printing Research.

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- Q. In that same paragraph, and backing up, oh, again to Page 3 at the first you say, The day-long tests on January 20, 1995 involved comparisons of the results of the new WIMS improved process over the old process.
 - A. Yes.
 - Q. Explain the new process to me.
- A. The new process was putting the metallics down with a chambered doctor blade anilox system being able to use a larger grain --
 - O. Uh-huh.
 - A. -- and getting more material on the sheet.
- Q. Was the new WIMS process then run, as you say in Germany at that time?
 - A. It was simulated.
- Q. What do you mean "it was stimulated"? It was running or it wasn't? What do you mean "simulated"?
 - A. Okay. It was running.
- MR. PINKERTON: Object to the form of that question.
- Q. (By Mr. Harris) You say it was run. Is that what the WIMS project was, what was done in Germany?
- MR. PINKERTON: Objection as to form, vague, ambiguous, confusing as to what process you're talking about. What was run when?
 - MR. HARRIS: John, you're the only one

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MR. PINKERTON: Maybe.

A. No, that wouldn't be true.

MR. PINKERTON: He's already explained -- he's already answered that question.

MR. HARRIS: Why don't you let him think about it.

MR. PINKERTON: Okay.

- Q. (By Mr. Harris) I'm just trying to find out if what was run was the new WIMS process. It says so here. Was it?
- A. I haven't -- I have not seen the patent for the process.
 - Q. Okay.
- A. So I can't comment as to the ins and outs of that entire process.
- Q. With my heart, let me represent to you that the name of the patent isn't the new WIMS process.
 - A. That doesn't tell me anything.
- Q. Well, it tells you the patent probably wouldn't tell you anything. If you want to see it, we'll be happy to let you have it.
- A. What I can tell you is what is in my statement here.
 - Q. Yeah.

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- A. There were sheets brought that were run with the metallics -- with the original WIMS process with metallic inks run in-line inks. And I don't know when they were run. I don't know where they were run or anything else. And what we did was simulate by printing first the gold and/or silver first and then putting the process colors over that. That is a simulation of in-line printing of the coating -- the gold or silver end process colors.
- Q. Now, I ask, again, why that wasn't done. With all those people there and all the investment made, why was that not done, if the process existed?

MR. PINKERTON: Object to form. Object as vague and indefinite. Also object as previously answered, to the extent I understand the question.

- A. I believe I just answered that.
- Q. (By Mr. Harris) I'll have to confess I didn't understand your answer as being one to that question, and I may have misunderstood. The law doesn't prohibit asking a question twice. And I don't think -- I think I'm on four or five times. So please, even if it's twice, answer the question.
 - A. Ask it again.
 - Q. Okay.

MR. HARRIS: Would you read it back.

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(Requested text read)

- A. And my answer to that question is we just had a discussion answering that question. The process was simulated.
- Q. (By Mr. Harris) What do you mean by "simulated"? And what process was simulated?

 MR. PINKERTON: Object, again, as having

MR. HARRIS: That's two. I'll do one at a time.

Q. (By Mr. Harris) What do you mean by simulated?

MR. PINKERTON: Bill, he's already answered that now a couple of times. I don't mean to be obstreperous, but he's testified about that.

MR. HARRIS: Well, I'm sure he's trying to testify about it and maybe very well, but I'm asking.

- A. We simulated the -- I'm going to call it the new WIMS process. Does anybody object to that?
- Q. (By Mr. Harris) If you want to call it that, I'll ask you what you think it is in a minute. And subject to that, it's perfectly fine to call it that.
- A. In my statement, I called it the new WIMS improved process --
 - Q. You did.

been asked and answered.

- 1 A. -- over the old process.
 - Q. Yes.

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- A. We simulated and tested that new process by putting down metallics first. By running the sheet through the press, not printing on it until it was printed on with the metallics. So the sheet came out of the end of the press and all it had on it was gold and -- gold or silver. That sheet dried. And then we put it back in the front of the press, ran it back through, and put it on black, blue, red, and yellow. That is a simulation of running and putting down the gold first and then process colors throughout the press.
- Q. Is that what you called it over there, simulation?
 - A. I don't recall.
 - Q. Is that your terminology?
 - A. It was a test. I would use the word test.
 - Q. Is simulated your terminology or Mr. Falk's?
- A. That's my terminology, my assessment, my terminology.
 - Q. You adopted that terminology?
- 23 A. Yes.
- Q. I'd indicated -- I think I understand that.
- 25 And I indicated a moment ago I would be asking you what

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you mean by the new WIMS process. We have handled the simulated part. Okay?

A. Uh-huh.

anything here.

process.

Q. What do you mean by the new WIMS process?

MR. PINKERTON: That's also been -
MR. HARRIS: I don't care about patents or

MR. PINKERTON: It's also been asked and answered before, but go ahead.

A. I'll say, again, that the original process -MR. PINKERTON: Just focus on the
question. He's asking about the new process.

MR. HARRIS: That's true. The new WIMS

- A. The new process involved the use of the chambered doctor blade system, the flexographic plates, and dispersion coatings versus or flexographic -- I'm -- the term that I recall was dispersion coatings that had metallics -- particles in it. And that's how I would describe it.
 - Q. (By Mr. Harris) That's what?
- A. I would describe it that way. And that those metallics were to be printed first.
 - Q. By what means?
 - A. Chambered doctor blade with a flexographic

plate.

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Q. Was -- were you informed of or did you think of a way back in August of -- back in August of '95 or at any other time to accomplish that purpose of doing it first -- '94. Yeah, August of '94. I'm going to start over.

In August of '94 or anytime before or after, did you think of a way to practice the process we just described -- you just described? Did you think of a way to practice it?

- A. Would you accept simulated?
- Q. Well, simulated, if that's all you know.
- A. Then --
- Q. I can't accept something you hadn't got.
- A. Then my answer would be it was not my original thought. It did not originate with me, but the thought existed of simulating this process.
- Q. Well, let's go back to the fact that it didn't originate with you. I believe it probably originated as far as you're concerned and from your affidavit with Mr. or Dr. Davis --

MR. PINKERTON: Just Bill Davis.

MR. HARRIS: I'm going to find out. Is it

Dr. Davis? No.

MR. PINKERTON: No.

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MR. DAVIS: No, sir.

MR. PINKERTON: Just Bill Davis.

MR. HARRIS: All right.

MR. PINKERTON: And Jesse Williamson.

MR. HARRIS: All right. Let it be that

way.

Q. (By Mr. Harris) From Mr. Davis or Jesse Williamson, what did you find out from them that they had in mind as a way to practice this method?

MR. PINKERTON: Again, we're talking about that time frame -- you want to put that time frame?

MR. HARRIS: Yeah. The time frame I have in mind is -- I suppose the first time that -- in 1964 --

MR. PINKERTON: '94.

MR. HARRIS: -- 1994.

MR. PINKERTON: August '94 that we

previously talked about?

MR. HARRIS: I don't want to limit it to August of '94.

- A. I was still a swimmer in '64.
- Q. (By Mr. Harris) Well, in '94 or '95, whenever Mr. Davis and Mr. Williamson were talking with you about the process. What I would like to know is, did they tell you how to practice it?

MR. PINKERTON:

I think it's --

MR. HARRIS: Wait a minute.

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MR. PINKERTON: Well, I --

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MR. HARRIS: Now, please don't coach this

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MR. PINKERTON: I'm not going to coach him, but it's a subject -- I want -- you know, I won't

- Are you asking if Bill and Jesse gave direction for -- during this test?
- (By Mr. Harris) No. Bill and Jesse, you told me, were at the test. I'm going back to an earlier date. As early as, I believe, you said around August 1994 when Mr. Williamson and Mr. Davis explained to you the existing WIMS process and indicated several methods that they might use for the practice of a new process. And I'm asking you if they told you how they would practice the method.
- Α. The test originated with Jesse and Bill, and, yes, they told us why we were going to Germany to test.

MR. HARRIS: There's something -- do you want to tell me what you've got on your mind?

MR. PINKERTON: No, but he's not communicating with you.

> MR. HARRIS: I'm trying to get back to

August.

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MR. PINKERTON: He's thinking you're still focusing on the test back in '95 and you're back in August of '94.

Q. (By Mr. Harris) I'm in August of '94, whenever it was that the discussion took place.

MR. PINKERTON: Right.

MR. HARRIS: That's when it is I'm to.

- Q. (By Mr. Harris) It's really in your Paragraph 2.
- A. Okay. I'm in the right time frame now. I'm in spring and summer of '94. And you're asking --
 - Q. Just showing up at work?
 - A. And you're asking if Jesse and Bill told me --
- Q. How to practice the new process that they were describing to you.

MR. PINKERTON: And he's not asking about the test. He's saying --

- Q. (By Mr. Harris) How to practice the process.
- MR. PINKERTON: Object to the form of that question with regard to practice the process. I'm not sure he understands that, but --
- A. There was -- there was discussions about how -- ideas they had of how to do -- how to accomplish the process.

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- Q. (By Mr. Harris) And what were those ideas and discussions?
 - A. Well, as I -- it was as my declaration says --
- Q. Please don't read from the declaration. Tell me.
 - A. It says that --
- Q. Please don't read from the declaration. Tell me.
 - A. -- Jesse Williamson and Bill Davis.
- MR. HARRIS: Let the record reflect that the witness is reading from his declaration and not able to tell me.
- MR. PINKERTON: Well, he's answering your question, Bill. You directed him to Paragraph 2.
- MR. HARRIS: Well, he can read it to himself and then he can look up and tell me.
 - MR. PINKERTON: Okay.
 - Q. (By Mr. Harris) Can you do it that way?
 - A. Are we in a different country?
 - Q. No.
- A. I suppose I have the freedom to read this if I'd like to.
- Q. Yeah, read it. But I don't think you have the freedom to read it out in response to a question --
 - A. I don't think you have the right --

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-- I'm asking you to summarize. Q.

And I don't think you have the right to insist Α. that I don't read from it.

- I'm doing my best to insist it. Q.
- I understand that, Mr. Harris. A.
- And the record will show that you can't answer Q. it without reading it.

The record won't show MR. PINKERTON: that. It will show that he's choosing to focus on Paragraph 2, what he said in the declaration. referred to Paragraph 2.

MR. HARRIS: I did.

- (By Mr. Harris) I bet you've got it now, Q. haven't you?
 - Would you like me to continue? Α.
 - Continue what? Q.

To answer the question. MR. PINKERTON:

- To answer the question. Α.
- Q. (By Mr. Harris) Sure.
- Α. Okay.
- I'd rather you not read it, though. ο.
- Jesse Williamson and Bill Davis explained to me Α. that they intended --
- You're reading it, and I want the record to · Q. show that.

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A. -- to improve the existing WIMS process by having flexography performed prior to the offset lithography all in an one-line operation, all in one pass. They indicated several methods that this could be done, in one manner with a dedicated flexography station, and another by an auxiliary add-on unit. And Printing Research was identified, mentioned as a potential partner for that.

- Q. To do what?
- A. To accomplish this process of putting down a flexographic metallic first.
- Q. Okay. I think I'm with you. And in doing that, is there any specific reference that you recall that they made just how Printing Research would do it and then detail it off? That's all I need to know.
 - A. There was, yes.
 - O. Huh?
- A. Yes. You asked me a yes or no question. My answer is yes.
 - Q. Yes what?
 - A. Yes to your question.
- MR. HARRIS: Would you read back my question.
- Let the record show that the witness is getting testy.

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And duly noted for Mr. Harris.

MR. PINKERTON: I object to that characterization of the witness. He's just answering questions over here. And it's getting late.

MR. HARRIS: You mean reading answers.

MR. PINKERTON: No. He's answering questions. It's getting late in the day. And he's answered your question.

THE WITNESS: Do I have --

MR. PINKERTON: He answered your question, then you didn't remember what the question was you asked him. Now, that's not his problem, Bill.

MR. HARRIS: Well, that may be true. If you read it back, maybe we can square it away.

(Requested text read)

THE WITNESS: Now can we go off the record for a moment?

Q. (By Mr. Harris) Now, you're welcome to read it and whether you read it or you don't read it, can you tell me how specific a reference there is about how they might do it?

MR. PINKERTON: Prior to you asking a question, Bill, he asked if we can go off the record.

Would you still like to do that,

Mr. Brown?

THE WITNESS: Yes, I would.

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MR. PINKERTON: Okay. Let's go off the record for a second.

(Off-the-record discussion)

Q. (By Mr. Harris) Again, whether you read or don't read or whatever you do, tell me, to the best of your ability, how specific you can remember that these gentlemen, Mr. Williamson and Mr. Davis, were in describing the means they would use to practice the process, particularly to the extent that Printing Research might be involved. That's kind of a new question.

MR. PINKERTON: That's a pretty long question. I'm going to object to form. It's pretty involved. Let the witness answer.

MR. HARRIS: Do you want it read back?

A. No. I believe I understand the question.

There were -- in the conversations I had, there were -there was equipment being developed confidentially,
which was not disclosed -- was held from me, wasn't
shared with me, other than the statement that they
were developing something. And we talked about the
fact that several companies have a rack back system,
an aftermarket coating system. And that adapting that
and being able to move it upstream was the goal. That

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would -- that would complete the process that we had simulated and attested in Germany.

- Q. (By Mr. Harris) Is it true they also considered a dedicated flexography station?
 - A. Yes.
- Q. And that they also indicated auxiliary add-on in general, as well as getting a little more specific by talking about some kinds of very generalized equipment?
 - A. Yes.
- Q. I would like to -- oh, one other thing. About the drying equipment, do I recall you saying that in Germany, the drying equipment wasn't adequate at the time you conducted the January test?
 - A. I don't recall saying that at all.
- Q. Was it? Maybe I asked you why it couldn't be run in somewhat of a different way and you said something about the drying equipment. And if I'm mistaken, I'll accept that. But wasn't something said about the drying equipment? Whenever we read the record, won't we find that?
- A. I don't think you'll find anything about the drying equipment. What you'll find is that I said the sheet was run with either gold or silver being put down only and being put down through the coating unit chambered doctor plate with a flexo plate and that that

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Α. No.

> Did they discuss drying equipment? Q.

Α. Yes.

Q. What did they say about it?

Once dried. Did -- what did you have as -- to Q.

sheet, once dried, was put back through the press.

dry it with? I suppose you just dried it outside of the printing units, right? Was it just dried outside of the printing units?

- There are dryers, hot air and infrared dryers, at the very end of the press --
 - Q. I see.
 - -- that accelerate oxidation, drying. Α.
 - So that's where the drying was done? Q.
 - Α. The majority.
- Did you -- in the discussions that were held Q. on or about August, per your memory, with Mr. Williamson and Mr. Davis, did they touch on drying equipment in a way that you could be of assistance to them or contribute?

MR. PINKERTON: I'm going to object.

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down.

A. That they were choosing Printing Research's drying equipment and that they were going to be testing an inner deck high velocity air drying system for Printing Research.

MR. HARRIS: I think we're within 15 minutes of through if you'll give me 10 minutes to talk with my cohorts, well, I'll then add the 15 on and that will be 25 and we'll still be short of your 5:15.

MR. PINKERTON: Okay.

(Recess taken)

Q. (By Mr. Harris) Let us tread on uneasy waters.

MR. PINKERTON: Okay.

Q. (By Mr. Harris) Let us go to the famous Paragraph 2.

MR. PINKERTON: We've been there before.

MR. HARRIS: That's what made them so

MR. PINKERTON: If we've been there, why

would we want to go back?

MR. HARRIS: We're going to quieten them

MR. PINKERTON: We'll see.

MR. PINKERTON: We'll see.

(By Mr. Harris) It's a pretty simple question

this time, I believe. In responding to my questions

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about this Paragraph 2, you had said that -- let's put it this way. They were developing some things, talking about some things that I think you meant -- let me editorialize -- some things you meant that you weren't entirely told perhaps or that you felt you weren't. And so you said they, and I put that in quotes, were developing some things.

My question is simply, by "they," did you mean Mr. Davis and Mr. Williamson, or did you mean somebody or something else?

- A. Are you talking about what I have previously testified to --
 - Q. Yes.
 - A. -- or what's written here?
- Q. No. I'm talking about what you've testified to.
- A. Yes. I would have been referring to -- in that context referring to Bill Davis and Jesse Williamson.
- Q. All right. And the other thing, as indicated to you previously, we do want to develop the contacts between you and attorneys or whoever and I would like to do that now. And I'll be straightforward and quick. I would advise you, of course, there is nothing wrong with you talking to these attorneys, but on the other hand, as you were told earlier, there is no privilege, you

know, about what is said.

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Have you had discussions with the attorneys today about any aspect of the deposition or litigation?

- A. No discussion other than, you're doing fine.
- Q. Did you have anything for them? Was it, how am I doing?
- A. Early in my -- early in this deposition, I had the concern of not having legal counsel here for myself.
 - Q. I recall that.
 - A. So that's the extent.
- Q. You say the extent of it was, you're doing fine?
 - A. Right.
 - Q. Did you ask, how am I doing?
 - A. How are things going.
- Q. Have you talked to either -- well, I think we've established you haven't talked to John Pinkerton before today; isn't that true?
 - A. That's true.
- Q. And as to Mr. Falk, have you talked to him about preparations for this deposition or anything in connection with this deposition?
 - A. No.
 - Q. Did he go back over the affidavit with you, or

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did you go over it with him, either?

A. Neither. He -- I have not spoken or seen Mr. Falk since this was signed.

MR. HARRIS: Pass.

MR. PINKERTON: We'll reserve our Thank you, Mr. Brown.

MR. PINKERTON: Let's see. Martin, do you have our that copy?

MS. SWEENEY: Steve does.

MR. HARRIS: There's some dates on there.

MR. WILSON: Some dates on where?

MR. PINKERTON: On that original. Could

we have that.

questions.

MR. WILSON: Well, I wanted to keep it for me. You guys have one at the office, right?

MR. PINKERTON: There's some dates on some of these documents that I'd like to put on the record that don't show up on the copies. If that's something you want to do, we can do it. If you don't want to do it, we don't have to do it at this time. But this exhibit's in the record and some of these dates that are in there don't show up. So I'd like to do it.

MR. WILSON: Well, tell how they're dated and where they're dated on the documents.

MR. PINKERTON: Just the ones you can't read. Steve, I'm going to tear this up for now, if that's okay.

MR. WILSON: As long as it's torn up in the same order.

MR. PINKERTON: Yeah. Let's see where the first one is here. Okay. This is the BASF -- Steve, why don't you --

MR. WILSON: Let's just make sure it's all the same that we're talking about.

MR. PINKERTON: This is Tab D, September 6, 1994, 5:05 p.m.

MR. WILSON: He's reading a fax line. And this is on the exhibit page that has a Bates mark W001267. W001263, which in our deposition is Tab B to Exhibit 2, the fax line date is 24 August, '91.

MR. PINKERTON: '94.

MR. WILSON: Oh, '94. Thank you. 24

August, '94.

MR. PINKERTON: Right.

MR. WILSON: Sorry.

MR. PINKERTON: And it's the same thing on this document here.

MR. WILSON: And the page after that WW001264 has the same fax line, August 24th, '94.

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MR. PINKERTON:

Right.

MR. WILSON: The next page, too?

MR. PINKERTON:

That one's okay. I think

those are it.

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I think all these others are MR. WILSON:

clear. I think the rest of the documents are legible in the exhibit.

> MR. PINKERTON: That's it. Okay.

(Off the record at 5:03 p.m.)